Muttera Robert H Form 4 January 28, 2019

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 3235-0287 Number:

**OMB APPROVAL** 

Expires:

January 31, 2005

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Muttera Robert H

(First)

(Street)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

Bank of Commerce Holdings [BOCH]

(Check all applicable)

**EVP & CCO** 

(Last)

(Middle)

3. Date of Earliest Transaction

Director X\_ Officer (give title \_

below)

10% Owner \_\_ Other (specify

C/O BANK OF COMMERCE HOLDINGS, 555 CAPITOL MALL,

**SUITE 1255** 

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

(Month/Day/Year)

01/24/2019

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

SACRAMENTO, CA 95814-4500

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)		ties Acquired sposed of (D) 4 and 5)  (A) or (D) Price		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	01/24/2019		A	6,326 (1)	A	\$ 0	101,659 (2)	D			
Common Stock	01/25/2019		F	871	D	\$ 11.15	100,788	D			
Common Stock	01/26/2019		F	1,021	D	\$ 11.15	99,767	D			
Common Stock							11,287	I	By 401(k) Plan		
							671 <u>(3)</u>	I			

Common Stock

By The Muttera Trust dated November 13, 2017

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transactio	5. orNumber	6. Date Exerc Expiration Da		7. Title and Amount of	8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security	(a. cara)	any (Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/	(Month/Day/Year)		Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Amount or Title Number of Shares		

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Muttera Robert H

C/O BANK OF COMMERCE HOLDINGS 555 CAPITOL MALL, SUITE 1255 **SACRAMENTO, CA 95814-4500** 

EVP & **CCO** 

### **Signatures**

/s/ Alicia E. MacGregor,

Attorney-in-fact 01/28/2019 Date

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

**(1)** 

Reporting Owners 2

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The shares acquired represent a grant of restricted stock to the reporting person that vest in three equal installments on January 24, 2020, January 24, 2021, and January 24, 2022.

- (2) Excludes 671 shares previously owned directly which are now held by a trust.
- (3) These shares were previously owned directly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.