#### WHIRLPOOL CORP /DE/

Form 4 April 20, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB

**OMB APPROVAL** 

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**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Elliott Geraldine Issuer Symbol WHIRLPOOL CORP /DE/ [WHR] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) \_X\_\_ Director 10% Owner Other (specify Officer (give title C/O WHIRLPOOL 04/18/2017 below) CORPORATION, 2000 M-63N (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting BENTON HARBOR, MI 49022 (City) (State) (Zip)

| (City)                               | (State) (Z                              | Zip) Table  | I - Non-De                             | erivative S  | Securi           | ties Ac  | quired, Disposed   | of, or Beneficia                                      | lly Owned       |
|--------------------------------------|---|---|--|--|------------------|--|--|---|-----------------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securities<br>onAcquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |                 |
|                                      |   |   | Code V                                 | Amount   | (A)<br>or<br>(D) | Price  | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                       |   |                 |
| Common<br>Stock                      | 04/18/2017                              |   | A                                      | 773  | A                | <u>(1)</u>   | 773  | D   |                 |
| Common<br>Stock                      | 04/19/2017                              |   | G                                      | 773  | D                | \$0  | 0  | D   |                 |
| Common<br>Stock                      | 04/19/2017                              |   | G                                      | 773  | A                | \$0  | 3,866  | I   | By family trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Tit | le of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc | cisable and | 7. Title | e and        | 8. Price of | 9. Nu  |
|--------|-------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|----------|--------------|-------------|--------|
| Deriv  | ative | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration D  | ate         | Amou     | nt of        | Derivative  | Deriv  |
| Secur  | ity   | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)       | Under    | lying        | Security    | Secui  |
| (Instr | . 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |             | Securi   | ties         | (Instr. 5)  | Bene   |
|        |       | Derivative  |                     |                    |            | Securities |               |             | (Instr.  | 3 and 4)     |             | Owne   |
|        |       | Security    |                     |                    |            | Acquired   |               |             |          |              |             | Follo  |
|        |       | •           |                     |                    |            | (A) or     |               |             |          |              |             | Repo   |
|        |       |             |                     |                    |            | Disposed   |               |             |          |              |             | Trans  |
|        |       |             |                     |                    |            | of (D)     |               |             |          |              |             | (Instr |
|        |       |             |                     |                    |            | (Instr. 3, |               |             |          |              |             |        |
|        |       |             |                     |                    |            | 4, and 5)  |               |             |          |              |             |        |
|        |       |             |                     |                    |            |            |               |             |          | Amount       |             |        |
|        |       |             |                     |                    |            |            |               |             |          | Amount       |             |        |
|        |       |             |                     |                    |            |            | Date          | Expiration  |          | Or           |             |        |
|        |       |             |                     |                    |            |            | Exercisable   | Date        |          | Number<br>of |             |        |
|        |       |             |                     |                    | C-J- V     | (A) (D)    |               |             |          |              |             |        |
|        |       |             |                     |                    | Code V     | (A) (D)    |               |             |          | Shares       |             |        |

### **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |
|---|---------------|-----------|---------|-------|--|--|
| <b>F-</b>   | Director      | 10% Owner | Officer | Other |  |  |
| Elliott Geraldine<br>C/O WHIRLPOOL CORPORATION<br>2000 M-63N<br>BENTON HARBOR, MI 49022 | X             |           |         |       |  |  |

## **Signatures**

/s/ Bridget K. Quinn, Attorney-in-Fact

04/20/2017

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Annual equity award granted under the Omnibus Stock and Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2