HARSCO CORP Form 4 May 12, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

#### **OMB** Number:

5. Relationship of Reporting Person(s) to

Issuer

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

GRASBERGER F NICHOLAS III

See Instruction

				HARSCO CORP [HSC]						(Check all applicable)			
(Last) 350 POPLA	(First)  R CHURCH	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 05/10/2016			Director 10% Owner Officer (give title Other (specify below) President & Domination & D							
	(Street) L, PA 17011			ndment, Date Original th/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Benefic						of, or Beneficial	ly Owned				
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Y	ear) Execution	emed ion Date, if n/Day/Year)	3. Transact Code (Instr. 8)	ior	4. Securitin(A) or Dis (Instr. 3, 4	posed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock	05/10/2016			Code V	•	Amount 10,310	(D)	Price \$ 0 (1)	185,310	D			
Common Stock	05/10/2016			M		5,000	A	\$ 0 (1)	190,310	D			
Common Stock	05/10/2016			F		3,144	D	\$ 6.73	187,166	D			
Common Stock	05/10/2016			F		1,525	D	\$ 6.73	185,641	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 II S (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	<u>(1)</u>	05/10/2016		M	5,000	(2)	(2)	HSC Common Stock	5,000	
Restricted Stock Unit	<u>(1)</u>	05/10/2016		M	10,310	(3)	(3)	HSC Common Stock	10,310	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

GRASBERGER F NICHOLAS III 350 POPLAR CHURCH ROAD CAMP HILL, PA 17011

President & Dresident & President & Presid

# **Signatures**

/s/F. Nicholas Grasberger III 05/12/2016

\*\*Signature of Reporting Date
Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units convert into common stock on a one-for-one basis.
- (2) On May 10, 2013, the reporting person was granted 5,000 restricted stock units that "cliff" vested three years after the grant date.
- (3) On May 10, 2013, the reporting person was granted 10,310 restricted stock units that "cliff" vested three years after the grant date. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Reporting Owners 2

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