

J P MORGAN CHASE & CO
Form 4
October 02, 2007

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
CROWN JAMES S

(Last) (First) (Middle)

**JPMORGAN CHASE & CO., 270
PARK AVENUE**

(Street)

NEW YORK, NY 100172070

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
J P MORGAN CHASE & CO [JPM]

3. Date of Earliest Transaction
(Month/Day/Year)
09/30/2007

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	09/30/2007		A ⁽¹⁾	16,406.593 A \$ 0	176,301.7028	D	
Common Stock	09/30/2007		A ⁽²⁾	490.2495 A \$ 45.895	176,791.9523	D	
Common Stock					8,872	I	By IRA
Common Stock					1,547,123	I	By Partnership (Areljay) ⁽³⁾ <u>(4)</u>
					383,096	I	

Edgar Filing: J P MORGAN CHASE & CO - Form 4

Common Stock				By Partnership (Crown Fund II) ⁽⁴⁾ <u>(5)</u>
Common Stock	2,884,154	I		By Partnership (Henry Crown & Co) ⁽⁴⁾ ⁽⁶⁾ <u>(5)</u>
Common Stock	6,019,813	I		By Partnership (The Crown Fund) ⁽⁴⁾ ⁽⁷⁾ <u>(5)</u>
Common Stock	7,303	I		By Spouse ⁽⁴⁾ <u>(5)</u>
Common Stock	1,570	I		By Spouse's IRA ⁽⁴⁾ <u>(5)</u>
Common Stock	204,605	I		By Trust (Crown) ⁽⁴⁾ <u>(8)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Securities (Instr. 3 and 4)				
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Common Stock Equivalents	(9)	09/30/2007		D(1)		16,406.593		(10)	(10)	Common Stock	16,406.593

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

Edgar Filing: J P MORGAN CHASE & CO - Form 4

Director 10% Owner Officer Other

CROWN JAMES S
JPMORGAN CHASE & CO.
270 PARK AVENUE
NEW YORK, NY 100172070

X

Signatures

By: /s/ Anthony Horan under
POA

10/02/2007

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Effective September 30, 2007, previous awards of common stock equivalents which were payable in cash upon retirement were converted into deferred stock units payable in common stock following termination of service as director.
- (2) Deferral of quarterly retainer, payable in common stock following termination of service as director.
- (3) Owned by a partnership of which a corporation of which the Reporting Person is a director, officer and shareholder and a trust of which the Reporting Person is a beneficiary are partners. (Areljay, L.P.)
- (4) The Reporting Person disclaims beneficial ownership of these shares except to the extent of his beneficial ownership therein.
- (5) Owned by a partnership of which the Reporting Person is a partner (Crown Fund II).
- (6) Owned by a partnership of which the Reporting Person is a partner (Henry Crown and Company) (Not Incorporated)).
- (7) Owned by a partnership of which the Reporting Person is a partner. (The Crown Fund)
- (8) Owned by a trust of which Reporting Person is a co-trustee and a beneficiary (Rebecca K. Crown Income Charitable Fund).
- (9) 1-for-1
- (10) Deferral of quarterly retainer, payable in cash following termination of service as director.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.