## SOTHEBYS

Form 8-K May 03, 2018

### UNITED

STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

1334 York Avenue New York, NY

### FORM 8-K CURRENT REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

May 3, 2018 Date of Report (Date of Earliest Event)

Sotheby's

(212) 606-7000

(Exact name of registrant as specified in its charter)

Delaware 1-9750 (State or other jurisdiction of incorporation) (Commission File Number) 38-2478409 (IRS Employer Identification No.)

10021 (Zip Code)

(Registrant's telephone number, including area code) Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

(Address of principal executive offices)

Written communications ... pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

 Soliciting material
pursuant to Rule
14a-12 under the

Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

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Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company o

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. o

Item 2.02 Results of Operations and Financial Condition

On May 3, 2018, Sotheby's (the "Registrant") issued a press release discussing its results of operations for the three months ended March 31, 2018. This press release is furnished as Exhibit 99.1 to this Form 8-K. Item 9.01 Financial Statements and Exhibits

(c) Exhibits

99.1 Sotheby's earnings press release for the three months ended March 31, 2018

#### SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

#### SOTHEBY'S

By:	/s/ Kevin M. Delaney
	Kevin M. Delaney
	Senior Vice President,
	Controller and Chief Accounting Officer
Date:	May 3, 2018

# Edgar Filing: SOTHEBYS - Form 8-K

Exhibit Index

Exhibit No. Description

99.1 Sotheby's earnings press release for the three months ended March 31, 2018

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