

EASTMAN KODAK CO
 Form 3
 May 03, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|--|--|--|--|---|
| 1. Name and Address of Reporting Person * Â Vandagriff Randy (Last) (First) (Middle) C/O EASTMAN KODAK COMPANY,Â 343 STATE STREET (Street) ROCHESTER,Â NYÂ 14650 (City) (State) (Zip) | 2. Date of Event Requiring Statement (Month/Day/Year) 05/01/2017 | 3. Issuer Name and Ticker or Trading Symbol EASTMAN KODAK CO [KODK] | 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) Vice President | 5. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person |
|---|--|--|--|--|---|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock, par value \$.01 | 3,854 | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|---|---|--|
|---|---|--|---|---|--|

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|-----------------------------|------------------|-----------------|-------------------------------|----------------------------|----------|---------------------------------------|---|
| Restricted Stock Units | Â (1) | 12/15/2017 | Common Stock, par value \$.01 | 1,400 | \$ 0 (1) | D | Â |
| Restricted Stock Units | Â (2) | 12/15/2018 | Common Stock, par value \$.01 | 2,112 | \$ 0 (2) | D | Â |
| Stock Option (Right to Buy) | Â (3) | 12/14/2021 | Common Stock, par value \$.01 | 11,597 | \$ 20.25 | D | Â |
| Stock Option (Right to Buy) | Â (4) | 12/14/2022 | Common Stock, par value \$.01 | 7,921 | \$ 12.63 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Vandagriff Randy C/O EASTMAN KODAK COMPANY 343 STATE STREET ROCHESTER, NY 14650 | Â | Â | Â Vice President | Â |

Signatures

/s/ Sharon E. Underberg, Attorney-in-fact for Randy Vandagriff 05/03/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These restricted stock units, which convert into common stock on a one-for-one basis, vest on 12/15/2017.
- (2) These restricted stock units, which convert into common stock on a one-for-one basis, vest one-half on each of the second and third anniversary of the 12/15/2015 grant date.
- (3) This option vests one-third on each of the first three anniversaries of the 12/15/2014 grant date.
- (4) This option vests one-third on each of the first three anniversaries of the 12/15/2015 grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.