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GENERAL MILLS INC

Form 3

January 17, 2017

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

response...

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31,

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement GENERAL MILLS INC [GIS] A Quam Bethany C. (Month/Day/Year) 01/01/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) NUMBER ONE GENERAL (Check all applicable) MILLS BOULEVARD (Street) 6. Individual or Joint/Group 10% Owner Director _X__ Officer Other Filing(Check Applicable Line) (give title below) (specify below) _X_ Form filed by One Reporting **Group President** Person MINNEAPOLIS, MNÂ 55426 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D 26,871 Common Stock 6,084.06 I by Trust (1) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

| 1. Title of Derivative Security | 2. Date Exercisable and | 3. Title and Amount of | 4. | 5. | 6. Nature of Indirect |
|---------------------------------|-------------------------|------------------------|-------------|------------|-----------------------|
| (Instr. 4) | Expiration Date | Securities Underlying | Conversion | Ownership | Beneficial |
| | (Month/Day/Year) | Derivative Security | or Exercise | Form of | Ownership |
| | | (Instr. 4) | Price of | Derivative | (Instr. 5) |

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Derivative Security | Security: Direct (D) or Indirect (I) (Instr. 5) | |
|--|---------------------|--------------------|-----------------|----------------------------------|------------------------|---|---|
| Non-Qualified Stock Option (right to buy) | 06/29/2013 | 07/29/2019 | Common Stock | 18,536 | \$ 27.92 | D | Â |
| Non-Qualified Stock Option (right to buy) | 06/23/2012 | 07/23/2018 | Common Stock | 8,712 | \$ 31.7 | D | Â |
| Non-Qualified Stock Option (right to buy) | 06/28/2015 | 07/28/2021 | Common Stock | 10,732 | \$ 37.21 | D | Â |
| Non-Qualified Stock Option (right to buy) | 06/28/2014 | 07/28/2020 | Common Stock | 13,729 | \$ 37.4 | D | Â |
| Non-Qualified Stock Option (right to buy) | 06/26/2016 | 07/26/2022 | Common Stock | 5,455 | \$ 38.15 | D | Â |
| Non-Qualified Stock Option (right to buy) | 06/25/2017 | 07/25/2023 | Common Stock | 5,024 | \$ 48.33 | D | Â |
| Non-Qualified Stock Option (right to buy) | 06/24/2018 | 07/24/2024 | Common Stock | 7,821 | \$ 53.7 | D | Â |
| Non-Qualified Stock Option (right to buy) | 06/30/2019 | 07/31/2025 | Common Stock | 11,576 | \$ 55.72 | D | Â |
| Non-Qualified Stock Option (right to buy) | 06/21/2020 | 07/21/2026 | Common Stock | 19,543 | \$ 66.52 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | |
|--|---------------|-----------|--------------------|-------|--|
| | Director | 10% Owner | Officer | Other | |
| Quam Bethany C. NUMBER ONE GENERAL MILLS BOULEVARD MINNEAPOLIS, MN 55426 | Â | Â | Group President | Â | |

Signatures

By: Christopher A. Rauschl For: Bethany C. Quam 01/17/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Held in Trust by the Trustee of the General Mills Savings Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2