Burdick Randy G Form 4 April 30, 2018

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

#### Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person \* Burdick Randy G

(Zip)

(First) (Middle)

11840 VALLEY VIEW ROAD

(Street)

(State)

EDEN PRAIRIE, MN 55344

2. Issuer Name and Ticker or Trading	5. Relationship of Report
Symbol	Issuer

#### SUPERVALU INC [SVU]

3. Date of Earliest Transaction (Month/Day/Year) 04/26/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

rting Person(s) to

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify below)

**EVP Chief Information Officer** 

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. 4. Securities Acquired Transaction(A) or Disposed of (D)				5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect
(Instr. 3)	(Monda Day, Tear)	any (Month/Day/Year)	Code (Instr. 8)	(Instr. 3,		` ′	Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(IIIsti. 3 and 4)		
Common Stock	04/27/2018		M	3,204 (1)	A	<u>(2)</u>	11,506 <u>(1)</u>	D	
Common Stock	04/27/2018		F(3)	969	D	\$ 17.03	10,537	D	
Common Stock	04/28/2018		M	1,045 (1)	A	(2)	11,582	D	
Common Stock	04/28/2018		F(3)	320	D	\$ 17.03	11,262	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shar
Performance Shares	\$ 0 (4)	04/26/2018		A	22,081		(5)	<u>(5)</u>	Common Stock	22,08
Restricted Stock Units	\$ 0 (4)	04/27/2018		M		3,204 (1)	<u>(6)</u>	<u>(6)</u>	Common Stock	3,20 (1)
Restricted Stock Units	\$ 0 (4)	04/28/2018		M		1,045 (1)	<u>(6)</u>	<u>(6)</u>	Common Stock	1,04 (1)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Burdick Randy G 11840 VALLEY VIEW ROAD EDEN PRAIRIE, MN 55344

**EVP Chief Information Officer** 

## **Signatures**

By: Amy M. Jensen, Attroney in Fact For: Randy Burdick

04/30/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This number reflects a 1 for 7 reverse split of the Company's common stock on August 1, 2017.
- (2) Shares of SUPERVALU common stock acquired upon the vesting and conversion of an equal number of restricted stock units.
- (3) Shares withheld upon vesting to satisfy tax withholding obligations.
- (4) Each stock unit represents a contingent right to receive one share of SUPERVALU common stock.
- (5) The units will be converted into shares of SUPERVALU common stock on the fourth anniversary of the grant date if and to the extent SUPERVALU achieves certain stock price performance levels.

Reporting Owners 2

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(6) The units will be converted into shares of SUPERVALU common stock pro rata on the first three anniversaries of the respective grant dates

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.