#### ROSENTHAL AMIR Form 4/A

December 06, 2012

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

January 31, Expires:

**OMB APPROVAL** 

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0.5

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if no longer subject to Section 16. Form 4 or

Check this box

**SECURITIES** Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* ROSENTHAL AMIR STURM RUGER & CO INC [RGR]

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

(First) (Middle) (Last)

(Street)

3. Date of Earliest Transaction

X\_ Director 10% Owner Officer (give title Other (specify

(Check all applicable)

C/O STURM, RUGER & COMPANY, INC., 1 LACEY **PLACE** 

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year) 12/05/2012

(Month/Day/Year)

12/04/2012

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

SOUTHPORT, CT 06890

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 1. Title of Security (Month/Day/Year) Execution Date, if (Instr. 3)

4. Securities Acquired 5. Amount of Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Owned Indirect (I) Following (Instr. 4) Reported

Beneficial Ownership (Instr. 4)

Code V Amount Transaction(s) (Instr. 3 and 4)

(D) Price

(A)

Common Stock

12/04/2012 12/04/2012  $M^{(1)}$ 15,000

 $19,627^{(2)}$ 

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: ROSENTHAL AMIR - Form 4/A

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Ai Underlying Se (Instr. 3 and 4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
Non-employee Stock Option (Right to Buy)	\$ 9.7	12/04/2012	12/04/2012	M	15,000	01/04/2013(3)	01/04/2020	Common Stock

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
coporting of the control of the cont	Director	10% Owner	Officer	Other	
ROSENTHAL AMIR C/O STURM, RUGER & COMPANY, INC. I LACEY PLACE SOUTHPORT, CT 06890	X				

## **Signatures**

/s/ Leslie M. Gasper, attorney-in-fact 12/06/2012

\*\*Signature of Reporting Person Dat

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of vested stock options with option price paid in cash.
- (2) To reflect the correct number of total shares beneficially owned as 19,627 rather than 33,722 in the Form 4 filed on 12/5/12.

The options become vested and exercisable in four equal installments of 5,000 shares beginning on the date of the award and on each of (3) the next three anniversaries of the award. The first installment vested on January 1, 2010, the second on January 1, 2011 and the third on January 1, 2012. The fourth and final installment will become vested and exercisable on June 1, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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