HISTOGENICS CORP Form 8-K February 02, 2016

### **UNITED STATES**

### SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

### FORM 8-K

## **Current Report**

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event Reported): February 2, 2016

### HISTOGENICS CORPORATION

(Exact Name of Registrant as Specified in its Charter)

Delaware (State or Other Jurisdiction **001-36751** (Commission

04-3522315 (I.R.S. Employer

of Incorporation)

File Number) 830 Winter Street, 3rd Floor **Identification Number)** 

## Edgar Filing: HISTOGENICS CORP - Form 8-K

## Waltham, Massachusetts 02451

(781) 547-7900

(Addresses, including zip code, and telephone numbers, including area code, of principal executive offices)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- "Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

## Item 7.01. Regulation FD Disclosure.

On February 2, 2016, Histogenics Corporation (Histogenics) issued a press release announcing that it had enrolled 123, or just over half, of the 245 patients required to complete enrollment of its Phase 3 clinical trial of NeoCart®, an investigational cartilage replacement for damage in the knee.

The press release is attached as Exhibit 99.1 to this Current Report on Form 8-K.

The information disclosed in this Item 7.01, including the press release attached hereto as Exhibit 99.1, is being furnished and shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the Exchange Act ), or otherwise subject to the liabilities under that section, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act except as expressly set forth by specific reference in such filing.

#### Item 9.01. Financial Statements and Exhibits.

(d) Exhibits

#### **Exhibit**

No. Description

99.1 Press Release of Histogenics Corporation dated February 2, 2016.

## **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: February 2, 2016

## **HISTOGENICS CORPORATION**

By: /s/ Adam Gridley Adam Gridley

President and Chief Executive Officer

## **EXHIBIT INDEX**

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