Colfax CORP Form 4 July 27, 2015

## FORM 4

### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per 0.5 response...

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Trerotola Matthew L.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

Colfax CORP [CFX]

07/24/2015

(Last)

\_X\_\_ Director

(First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

(Zip)

10% Owner X\_ Officer (give title Other (specify below)

(Check all applicable)

420 NATIONAL BUSINESS PARKWAY, 5TH FLOOR

(Street)

(State)

4. If Amendment, Date Original

President & CEO 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

**ANNAPOLIS** JUNCTION, MD 20701

(City)

| 1.Title of | 2. Transaction Date | 2A. Deemed         | 3.         | 4. Securities Acquired | 5. Amount of   | 6. Ownership | 7. Nature of |
|------------|---------------------|--------------------|------------|------------------------|----------------|--------------|--------------|
| Security   | (Month/Day/Year)    | Execution Date, if | Transactio | on(A) or Disposed of   | Securities     | Form: Direct | Indirect     |
| (Instr. 3) |                     | any                | Code       | (D)                    | Beneficially   | (D) or       | Beneficial   |
|            |                     | (Month/Day/Year)   | (Instr. 8) | (Instr. 3, 4 and 5)    | Owned          | Indirect (I) | Ownership    |
|            |                     |                    |            |                        | Following      | (Instr. 4)   | (Instr. 4)   |
|            |                     |                    | (A)        |                        | Reported       |              |              |
|            |                     |                    |            | (A)                    | Transaction(s) |              |              |

Transaction(s) (Instr. 3 and 4)

or (D) Price Code V Amount

Common Stock, par

94,841 07/24/2015 94,841 (1) D (1)

value \$.001

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Colfax CORP - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | iorDerivative<br>Securities |     | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                            |
|---|---|--------------------------------------|---|--|-----------------------------|-----|--|--------------------|---|----------------------------|
|   |   |                                      |   | Code V                                 | (A)                         | (D) | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>Number<br>Shares |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 39.54  | 07/24/2015                           |   | A                                      | 538,600                     |     | (2)  | 07/23/2022         | Common<br>Stock, par<br>value<br>\$.001                       | 538,60                     |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                 |       |  |  |  |
|---|---------------|-----------|-----------------|-------|--|--|--|
| . 0   | Director      | 10% Owner | Officer         | Other |  |  |  |
| Trerotola Matthew L. 420 NATIONAL BUSINESS PARKWAY 5TH FLOOR ANNAPOLIS JUNCTION, MD 20701 | X             |           | President & CEO |       |  |  |  |

# **Signatures**

/s/ A. Lynne Puckett,
Attorney-in-Fact
07/27/2015

## **Explanation of Responses:**

\*\*Signature of Reporting Person

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The amount represents restricted stock units that vest in three equal annual installments beginning on December 31, 2015.

Date

(2) The option vests in three equal annual installments on the third, fourth, and fifth anniversaries of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2