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PERNIX THERAPEUTICS HOLDINGS, INC.

Form 3

August 19, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Siegel Barry J

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

08/14/2015

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

PERNIX THERAPEUTICS HOLDINGS, INC. [PTX]

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O PERNIX THERAPEUTICS, 10 NORTH PARK PLACE STE 201

(Street)

(Check all applicable)

Senior VP and General Counsel

Director _X__ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One Reporting Person

MORRISTOWN, NJÂ 07960

(City) (State)

1. Title of Security

(Instr. 4)

(Zip)

2. Amount of Securities

Beneficially Owned (Instr. 4)

3. Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date**

(Month/Day/Year)

Date

3. Title and Amount of Securities Underlying Derivative Security

4. 5. Conversion or Exercise

Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Expiration Exercisable Date

Title

Amount or Number of Derivative Security

Price of

Security: Direct (D)

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				Shares		or Indirect (I) (Instr. 5)	
Options (right to buy)	(1)	05/09/2024	Common Stock	100,000	\$ 4.34	D	Â
Options (right to buy)	(2)	08/20/2024	Common Stock	50,000	\$ 7.01	D	Â
Options (right to buy)	(3)	11/06/2024	Common Stock	200,000	\$ 9.31	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
. 9	Director	10% Owner	Officer	Other	
Siegel Barry J C/O PERNIX THERAPEUTICS 10 NORTH PARK PLACE STE 201 MORRISTOWN, NJ 07960	Â	Â	Senior VP and General Counsel	Â	

Signatures

/s/ Barry Siegel 08/19/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vests in four equal annual installments beginning on May 9, 2015.
- (2) The option vests in four equal annual installments beginning on August 20, 2015.
- (3) The option vests in four equal annual installments beginning on November 6, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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