### FRIEDMAN ADENA T

Form 4

December 20, 2010

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

Estimated average

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Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

3235-0287 Number: January 31, Expires:

2005

**OMB APPROVAL** 

Section 16. Form 4 or Form 5 obligations

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

6. Ownership 7. Nature of

See Instruction 1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

(Ctata)

2. Transaction Date 2A. Deemed

2. Issuer Name and Ticker or Trading

Issuer

FRIEDMAN ADENA T Symbol

NASDAQ OMX GROUP, INC. [NDAQ]

(Check all applicable)

5. Relationship of Reporting Person(s) to

3. Date of Earliest Transaction

(Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify below)

ONE LIBERTY PLAZA

12/13/2010

**Executive Vice President** 

(Street) 4. If Amendment, Date Original

(Middle)

Filed(Month/Day/Year)

3.

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10006

Person

5. Amount of

| (City) | (State) | (Zip) | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |
|--------|---------|-------|--|
|--------|---------|-------|--|

4. Securities Acquired

| Security   | (Month/Day/Year) | Execution Date, if | Transaction(A) or Disposed of (D) |        |           |              | Securities       | Form: Direct | Indirect   |
|------------|------------------|--------------------|-----------------------------------|--------|-----------|--------------|------------------|--------------|------------|
| (Instr. 3) |                  | any                | Code (Instr. 3, 4 and 5)          |        |           | Beneficially | (D) or           | Beneficial   |            |
|            |                  | (Month/Day/Year)   | (Instr. 8)                        |        |           |              | Owned            | Indirect (I) | Ownership  |
|            |                  |                    |                                   |        |           |              | Following        | (Instr. 4)   | (Instr. 4) |
|            |                  |                    |                                   |        | (A)       |              | Reported         |              |            |
|            |                  |                    |                                   |        | (A)       |              | Transaction(s)   |              |            |
|            |                  |                    | Code V                            | Amount | or<br>(D) | Price        | (Instr. 3 and 4) |              |            |
| C          |                  |                    | Code v                            | Amount | (D)       | FIICE        |                  |              |            |
| Common     |                  |                    |                                   |        |           |              |                  |              |            |

Stock, par 12/13/2010 F 2,495 230,211 (1) D value \$0.01

per share

1.Title of

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

## Edgar Filing: FRIEDMAN ADENA T - Form 4

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. 5. TransactionNumber Code of (Instr. 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |     | Expiration I<br>(Month/Day<br>e |     | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                    |                 |                                  |
|---|---|---|---|---|-----|---------------------------------|-----|---|--------------------|-----------------|----------------------------------|
|   |   |   |   | Code V  | · ( | (A)                             | (D) | Date<br>Exercisable   | Expiration<br>Date | Title           | Amount or<br>Number of<br>Shares |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 19.75  |   |   |   |     |                                 |     | (2)   | 03/04/2020         | Common<br>Stock | 34,664                           |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 7.35   |   |   |   |     |                                 |     | <u>(3)</u>  | 11/15/2014         | Common<br>Stock | 195,000                          |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 9.15   |   |   |   |     |                                 |     | <u>(4)</u>  | 02/18/2014         | Common<br>Stock | 100,000                          |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 25.07  |   |   |   |     |                                 |     | <u>(5)</u>  | 12/17/2018         | Common<br>Stock | 60,704                           |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 35.92  |   |   |   |     |                                 |     | <u>(6)</u>  | 12/13/2016         | Common<br>Stock | 32,558                           |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 45.38  |   |   |   |     |                                 |     | <u>(7)</u>  | 12/12/2017         | Common<br>Stock | 30,085                           |

8. F Der Sec (Ins

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

FRIEDMAN ADENA T ONE LIBERTY PLAZA NEW YORK, NY 10006

**Executive Vice President** 

# **Signatures**

/s/ Edward S. Knight, by power of attorney

12/20/2010

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents (i) 19,000 shares of common stock acquired upon exercise of vested options, (ii) 165,166 shares or units of restricted stock, of (1) which 15,166 are vested, (iii) 46,044 unvested shares underlying PSUs and (iv) 1 share purchased under the Employee Stock Purchase
- Options exercisable on March 4, 2014, subject to accelerated vesting on March 4, 2013, or extension of vesting until March 4, 2015, depending on the achievement of performance goals.
- (3) Represents exercisable options.
- (4) Represents exercisable options.
- (5) Options exercisable on December 17, 2012.
- (6) Represents exercisable options.
- (7) Represents exercisable options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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