

Thomas Melissa  
Form 3  
December 07, 2018

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Thomas Melissa

(Last) (First) (Middle)

C/O GROUPON, INC., 600 W.  
CHICAGO AVE., STE 400

(Street)

CHICAGO, IL 60654

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

11/30/2018

3. Issuer Name and Ticker or Trading Symbol  
Groupon, Inc. [GRPN]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed (Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer ☐ Other  
(give title below) (specify below)  
CAO & Treasurer

6. Individual or Joint/Group Filing (Check Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock

24,204

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:  
Direct (D)

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Date Exercisable

Title

## Edgar Filing: Thomas Melissa - Form 3

|                        | Expiration<br>Date        |                  | Amount or<br>Number of<br>Shares |        | or Indirect<br>(I)<br>(Instr. 5) |     |
|------------------------|---------------------------|------------------|----------------------------------|--------|----------------------------------|-----|
| Restricted Stock Units | 05/22/2018 <sup>(1)</sup> | Â <sup>(1)</sup> | Common<br>Stock                  | 59,850 | \$ <sup>(2)</sup>                | D Â |
| Restricted Stock Units | 09/30/2019 <sup>(3)</sup> | Â <sup>(3)</sup> | Common<br>Stock                  | 25,641 | \$ <sup>(2)</sup>                | D Â |
| Restricted Stock Units | 03/05/2019 <sup>(4)</sup> | Â <sup>(4)</sup> | Common<br>Stock                  | 53,608 | \$ <sup>(2)</sup>                | D Â |

## Reporting Owners

| Reporting Owner Name / Address                                                           | Relationships |           |                   |       |
|------------------------------------------------------------------------------------------|---------------|-----------|-------------------|-------|
|                                                                                          | Director      | 10% Owner | Officer           | Other |
| Thomas Melissa<br>C/O GROUPON, INC.<br>600 W. CHICAGO AVE., STE 400<br>CHICAGO, IL 60654 | Â             | Â         | Â CAO & Treasurer | Â     |

## Signatures

/s/ Erin G. Stone, by Power of Attorney

12/07/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The restricted stock units reported on this line will vest quarterly in six equal installments beginning on February 22, 2019, in each case subject to Ms. Thomas' continued employment with the Company through the applicable vesting date.
- (2) Each restricted stock unit represents a contingent right to receive one share of Common Stock.
- (3) 100% of the restricted stock units reported on this line will vest on September 30, 2019, subject to Ms. Thomas' continued employment with the Company through the vesting date.
- (4) 13,402 of the restricted stock units reported on this line will vest on March 5, 2019; 26,804 of the restricted stock units reported on this line will vest quarterly in four equal installments beginning on June 5, 2019; and 13,402 of the restricted stock units reported on this line will vest quarterly in four equal installments beginning on June 5, 2020, in each case subject to Ms. Thomas' continued employment with the Company through the applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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