

Tabb Robert L
Form 3
April 05, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Tabb Robert L
(Last) (First) (Middle)

12000 AEROSPACE
AVENUE,Â SUITE 300

(Street)

HOUSTON,Â TXÂ 77034

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
03/28/2019

3. Issuer Name **and** Ticker or Trading Symbol
Orion Group Holdings Inc [ORN]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
VP and Chief Financial Officer

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

1,405 ⁽¹⁾

D

Â

Common Stock

2,116 ⁽²⁾

D

Â

Common Stock

3,351 ⁽³⁾

D

Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying

4. Conversion

5. Ownership

6. Nature of Indirect Beneficial Ownership

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	Date Exercisable	Expiration Date	Derivative Security (Instr. 4) Title	Amount or Number of Shares	or Exercise Price of Derivative Security	Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Common stock options	Â (4)	05/19/2026	Common stock	4,158	\$ 4.94	D	Â
Common stock options	Â (5)	05/25/2027	Common stock	6,261	\$ 7.22	D	Â
Common stock options	Â (6)	05/24/2028	Common stock	8,993	\$ 7.46	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Tabb Robert L 12000 AEROSPACE AVENUE SUITE 300 HOUSTON, TX 77034	Â	Â	Â VP and Chief Financial Officer	Â

Signatures

Robert L. Tabb 04/05/2019

____Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This grant of restricted stock vests cumulatively at a rate of 1/3 upon the first anniversary of the stock grant (May 19, 2017) and 1/36 per month thereafter such that all shares are vested on the third anniversary of the stock grant.
- (2) This grant of restricted stock vests cumulatively at a rate of 1/3 upon the first anniversary of the stock grant (May 25, 2018) and 1/36 per month thereafter such that all shares are vested on the third anniversary of the stock grant.
- (3) This grant of restricted stock vests cumulatively at a rate of 1/3 upon the first anniversary of the stock grant (May 24, 2019) and 1/36 per month thereafter such that all shares are vested on the third anniversary of the stock grant.
- (4) The stock option grant is exercisable cumulatively at a rate of 1/3 upon the first anniversary of the stock option grant (May 19, 2017) and 1/36 per month thereafter such that all option shares are exercisable on the third anniversary of the stock option grant.
- (5) The stock option grant is exercisable cumulatively at a rate of 1/3 upon the first anniversary of the stock option grant (May 25, 2018) and 1/36 per month thereafter such that all option shares are exercisable on the third anniversary of the stock option grant.
- (6) The stock option grant is exercisable cumulatively at a rate of 1/3 upon the first anniversary of the stock option grant (May 24, 2019) and 1/36 per month thereafter such that all option shares are exercisable on the third anniversary of the stock option grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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