## Edgar Filing: POST ROBERT JASON - Form 4

POST ROBERT .	JASON										
Form 4											
May 02, 2019	UNITED	STATES	SECU	RITIES A	AND EX	CHANGE	COMMISSION		PPROVAL		
Chask this hav			Number:	3235-0287							
Check this box if no longer subject to Section 16. Form 4 or		(IENT O	F CHAN		BENEF RITIES	WNERSHIP OF	Expires: Estimated burden ho	urs per			
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type Respon	nses)										
1. Name and Address POST ROBERT	2. Issuer Name <b>and</b> Ticker or Trading Symbol NexPoint Strategic Opportunities				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
	(First) (1	Middle)	Fund [3	-							
(Last) ( 300 CRESCENT 700	3. Date of Earliest Transaction (Month/Day/Year) 04/11/2019				Director 10% Owner X Officer (give title Other (specify below) below) See Remarks						
(Street) 4. If Amendr Filed(Month/I DALLAS, TX 75201					-	1	Applicable Line) _X_ Form filed by	or Joint/Group Filing(Check ne) d by One Reporting Person d by More than One Reporting			
(City) (	State)	(Zip)	Tab	le I - Non-J	Derivative	Securities A	Acquired, Disposed o	of, or Beneficia	ally Owned		
	ansaction Date th/Day/Year)	Execution any	Date, if	Code (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3, 4 Amount	(A) or of (D)	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Reminder: Report on	a separate line	e for each cl	ass of sec	urities bene	ficially ow	ned directly (	or indirectly				
	- Separate and				Perso inform requir	ns who res nation cont ed to resp lys a curre	spond to the collect tained in this form ond unless the for ntly valid OMB col	are not m	SEC 1474 (9-02)		
	Tabl					posed of, or convertible	Beneficially Owned securities)				
1. Title of 2.	3. Tra	nsaction Da	ite 3A. D	eemed	4.	5. Numb	ber of 6. Date E	xercisable and	7. Title and Amount		

Derivative

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day	/Year)	Code ) (Instr. 8)		Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Month/Day/Year)		(Instr. 3 and 4)	
					Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(1)</u>	04/11/2019			A <u>(2)</u>		2,061.383		<u>(1)</u>	<u>(1)</u>	Common Stock, par value \$0.001 per share	<u>(1)</u>
Report	ting Ow	ners										
<b>Reporting Owner Name / Address</b>		Relationships										
		Director	10% Ow	vner C	Offi	cer Oth		er				
POST ROBERT JASON 300 CRESCENT COURT, SUITE 700 DALLAS, TX 75201					č	See	e Remarks					
Signat	ures											
/s/ Dustin Norris,		05/02/2019										

attorney-in-fact

03/02/20

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Mr. Post received a grant of 2,061.383 restricted stock units ("RSUs") with respect to 2,061.383 shares from Highland Capital Management, L.P. ("HCMLP"), an affiliate of the investment adviser to NexPoint Strategic Opportunities Fund ("NHF"), pursuant to

Contingent Bonus Award Agreement dated April 30, 2019. The RSUs are scheduled to vest on May 31, 2021. Upon settlement, the RSUs will become payable within 60 days on a one-for-one basis in shares of the Issuer's common stock or the cash value thereof or a combination thereof at the election of the Plan administrator, James Dondero.

Pursuant to the SEC staff no-action letter to Babson Capital Management LLC (pub. Avail. Dec. 14, 2006), an employee benefit plan sponsored by an investment adviser (or an affiliated person of an investment adviser) to a closed-end investment company registered

(2) under the Investment Company Act of 1940, as amended, that offers plan participants equity securities of such investment company is considered an "employee benefit plan sponsored by the issuer" for the purposes of Rule 16b-3 under the Securities Exchange Act of 1934, as amended.

### **Remarks:**

#### Chief Compliance Officer

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.