

Edgar Filing: LAKELAND BANCORP INC - Form 5

LAKELAND BANCORP INC
Form 5
February 12, 2001

FORM 5 U.S. SECURITIES AND EXCHANGE COMMISSION OMB APPROVAL
Washington, D.C. 20551
ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP
OMB Number: 3235-0362
Expires: February 1, 1994
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[ ] Check box if no longer subject to Section 16.
[ ] Form 3 Holdings Reported
[ ] Form 4 Transactions Reported

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935
or Section 30(1) of the Investment Company Act of 1940

1. Name and Address of Reporting Person
Luddecke Louis E
(Last) (First) (Middle)
C/O Lakeland Bancorp, Inc. 205 Oak Ridge Road
Oak Ridge NJ 07438
(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol: Lakeland Bancorp, Inc.-LBAI

3. IRS or Social Security Number of Reporting Person (Voluntary): 144-36-2005

4. Statement for Month/Year: December-2000

5. If Amendment, Date of Original (Month/Year): December-2000

6. Relationship of Reporting Person to Issuer (Check all applicable):
\_\_\_ Director \_\_\_ 10% Owner \_ X Officer \_\_\_ Other
(Title Below) (Specify Below)
0.10%
Executive Vice President

TABLE 1 - NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED

Title of Security (Instr. 3) 2. Trans- action Date (Month/Day/ 3. Trans- action Code 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) 5. Amount of Securities Bene- ficially Owned at

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|                         | Year)    | (Instr. 8) | Amount | (A) or (D) | Price     | End of Issuer's Fiscal Year (Instr. 3 & 4) |
|-------------------------|----------|------------|--------|------------|-----------|--|
| Lakeland Bancorp, Inc.  |          |            |        |            |           |  |
| Common                  | 02/15/00 | DRP        | 45     | A          | \$12.5000 |  |
| Common                  | 05/15/00 | DRP        | 52     | A          | \$11.1190 |  |
| Common                  | 08/15/00 | DRP        | 50     | A          | \$11.7140 |  |
|                         | 11/15/00 | DRP        | 57     | A          | \$10.9250 |  |
|                         | 11/15/00 | S/D        | 7      | A          |           |  |
|                         | 11/15/00 | S/D        | 381    | A          |           | 8,217                                      |
| IRA Merrill Lynch       | 11/15/00 | S/D        | 230    | A          |           | 4,848                                      |
| IRA First Institutional | 11/15/00 | S/D        | 22     | A          |           | 476  |
| Common                  | 01/01/00 | P          | 110    | A          | N/K       |  |
| Common                  | 11/15/00 | S/D        | 5      | A          |           | 115  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

FORM 5 (Continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed (D) (Instr. 3, 4 & 5) | 6. Date Exercisable or Expiration Date (Month/Day/Year) |
|--|--|--------------------------------------|--------------------------------|---|---|
| Stock Option Right to Purchase             | \$9.380  | 02/09/00                             | A                              | 5,000   | -   |

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| 1. Title of Derivative Security (Instr. 3) | 8. Price of Derivative Securities (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned at End of Year (Instr. 4) | 10. Ownership of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|---|---|--|
|--|--|---|---|--|

|                                |   |       |   |   |
|--------------------------------|---|-------|---|---|
| Stock Option Right to Purchase | - | 5,000 | D | - |
|--------------------------------|---|-------|---|---|

Stock Option was granted to Company's 2000 Equity Compensation Program and vests in 25% annual installments beginning one year after grant date.

Explanation of Responses:

- \*1 - Solely owned by Louis Luddecke
- \*2 - Solely owned by Louis Luddecke
- \*3 - Solely owned by Louis Luddecke

/s/ [Illegible]

Signature of Reporting Person

Date

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations

See 18 U.S.C. 1001 and 15 U.S.C. 7811(a)

Note: File three copies of this Form, one of which must be manually signed.

If space provided is insufficient, see instruction 6 for procedure.

CODES

DRP Dividend Reinvestment Plan

S/D Stock Dividend

S/O Stock Option

T Transfer

VP Voluntary Purchase

P Purchase

PM Purchase Market