

RELIANCE STEEL & ALUMINUM CO
Form 4
September 04, 2014

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Koch Stephen Paul

2. Issuer Name and Ticker or Trading Symbol
RELIANCE STEEL & ALUMINUM CO [RS]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
590 N. BETHLEHEM PIKE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
09/03/2014

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Sr. VP, Operations

LOWER GWYNEDD, PA 19002

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	09/03/2014		M	6,250 A \$ 42.81	16,934 ⁽¹⁾	D	
Common Stock	09/03/2014		M	6,250 A \$ 55.73	23,184 ⁽¹⁾	D	
Common Stock	09/03/2014		S	11,684 D \$ 71.14 ⁽²⁾	11,500 ⁽¹⁾	D	
Common Stock					947	I	Held by Trustee of Reliance Steel &

Common Stock	156	I	Aluminum Co. 401(k) Plan Held by Trustee of Reliance Steel & Aluminum Co. Employee Stock Ownership Plan
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options to Acquire Common Stock	\$ 42.81	09/03/2014		M	6,250	⁽³⁾ 02/23/2017	Common Stock	6,250	
Options to Acquire Common Stock	\$ 55.73	09/03/2014		M	6,250	⁽⁵⁾ 02/23/2018	Common Stock	6,250	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Koch Stephen Paul 590 N. BETHLEHEM PIKE LOWER GWYNEDD, PA 19002			Sr. VP, Operations	

Signatures

/s/ Stephen Koch by William A. Smith II as his Attorney-in-Fact 09/04/2014

__Signature of Reporting Person
Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 3,000 restricted shares subject to vesting over time.
The price reported represents the weighted average sale price of the shares disposed of. The actual sale prices ranged from \$70.98 to
- (2) \$71.36. The Reporting Person has provided to the Issuer and hereby undertakes to provide upon request by the U.S. Securities and Exchange Commission Staff or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.
- (3) The options vested and became exercisable in four equal annual installments beginning on February 23, 2011, which was the first anniversary of the date on which the options were granted.
In the aggregate, the Reporting Person beneficially owns 6,250 options to acquire common stock (with an exercise price of \$55.73 per
- (4) share and an expiration date of February 23, 2018) as of the date of this report. In addition, the Reporting Person beneficially owns 30,000 restricted stock units subject to performance and service criteria.
- (5) The options vest and become exercisable in four equal annual installments beginning on February 23, 2012, which is the first anniversary of the date on which the options were granted.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.