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CYBERONICS INC Form 3/A

October 27, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

A Gutedel Jacques

5 MERCHANT

(Last)

ROAD

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

10/19/2015

CYBERONICS INC [LIVN]

4. Relationship of Reporting Person(s) to Issuer

Filed(Month/Day/Year)

10/22/2015

(Check all applicable)

SQUARE, NORTH WHARF

(Street)

Director _X__ Officer

10% Owner

Other (give title below) (specify below) President Intercontinental

6. Individual or Joint/Group Filing(Check Applicable Line)

5. If Amendment, Date Original

X Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

LONDON. X0Â W2 1AY

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

Form: (Instr. 5) Direct (D)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

Conversion or Exercise Price of

4.

5. Ownership Form of

Derivative

6. Nature of Indirect Beneficial Ownership

(Instr. 4)

Expiration Exercisable Date

Title

Amount or Number of

Security: Derivative Security Direct (D) (Instr. 5)

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Shares or Indirect

(I)

(Instr. 5)

Option to purchase common stock

(2)

Common 10/19/2020 Stock

59,435 (1) \$ 69.39

Â D

Reporting Owners

Relationships Reporting Owner Name / Address

Director 10% Owner Officer

Other

Gutedel Jacques

5 MERCHANT SQUARE NORTH WHARF ROAD LONDON, X0Â W2 1AY

Â Â

À President Intercontinental À

Signatures

Taylor Pollock, as POA

10/27/2015

**Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Form 3 being amended to correct the number of stock appreciation rights ("SARs") granted to Reporting Person on October 19, 2015.
 - Reporting Person was granted an award of stock appreciation rights ("SARs"). Subject to the terms and conditions of the SAR
- Agreement, the SARs shall vest in equal installments of 50% on each of the first two anniversaries of the grant date, October 19, 2015. Payment of such amount shall be in cash, shares (based on their Fair Market Value as of the date the SAR is exercised) or a combination of both, as determined by the Administrator.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2