Edgar Filing: Dakos Andrew - Form 4

Dakos Andr	rew												
Form 4	12												
April 16, 20											PROVAL		
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									OMB Number:	3235-0287			
Check this box if no longer subject to Section 16. Form 4 or				IGES I	·	IEF		AL OWN	ERSHIP OF	Expires: Estimated a burden hou response			
Form 5 obligation may con <i>See</i> Inst 1(b).	ons ntinue. Section 17((a) of the P	ublic U	tility H	olding	Coi	mpan	-	Act of 1934, 1935 or Section)	I			
(Print or Type	Responses)												
Dakos Andrew Symbol			Symbol	01					5. Relationship of Reporting Person(s) to Issuer				
				EXICO EQUITY & INCOME JND INC [MXE]					(Check all applicable)				
(Month/			(Month/I	of Earliest Transaction /Day/Year)					_X_ Director 10% Owner Officer (give title Other (specify below)				
INCOME I	FUND, INC., 615 N STREET, 4TH	EAST	04/12/2	2013									
				onth/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
MILWAU	KEE, WI 53202								Form filed by M Person				
(City)	(State)	(Zip)	Tab	le I - Noi	n-Deriva	ative	e Secu	rities Acqu	ired, Disposed of,	or Beneficial	ly Owned		
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)			Date, if	3. 4. Securities Acquired (A r, if Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) ear) (Instr. 8) (A)					5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	y Ownership Indirect Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4) (I)			
				Code	V Amo	ount	or (D)	Price	(Instr. 3 and 4)	. ,			
Common Stock	04/12/2013			S	3,29	91	D	\$ 17.4214	21,303	Ι	Footnote (1)		
Common Stock	04/12/2013			S	1,30)1	D	\$ 17.4214	8,423	Ι	Footnote (2)		
Common Stock	04/12/2013			S	4,04	+1	D	\$ 17.4214	26,164	Ι	Footnote (3)		
Common Stock	04/12/2013			S	967		D	\$ 17.4214	6,260	Ι	Footnote (4)		

Edgar Filing: Dakos Andrew - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerci	isable and	7. Title	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration Da	ite	Amour	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Y	Year)	Underl	ying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Securit	ties	(Instr. 5)	Bene
	Derivative				Securities	3		(Instr. 2	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
									Amount		
						Date	Expiration		or Norse have		
						Exercisable Date	Date		Number of		
				Cada V	(A) (D)						
				Code V	(A) (D)			1	Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Dakos Andrew C/O MEXICO EQUITY AND INCOME FUND, INC. 615 EAST MICHIGAN STREET, 4TH FLOOR MILWAUKEE, WI 53202	X				
Signatures					
/s/ Stephanie L. Darling, as attorney-in-fact for Andrew Dakos	04/16/2013				
**Signature of Reporting Person		Date			

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reported securities are held by Full Value Partners, LP ("Full Value"). The Reporting Person is a limited partner of Full Value. The Reporting Person is also a managing member of Bulldog Holdings, LLC, the sole member of Full Value Advisors, LLC, which is the

- general partner of Full Value. In addition, the Reporting Person is a managing member of Brooklyn Capital Management, LLC, the investment adviser to Full Value ("BCM"). BCM has sole voting and dispositive power over the reported securities. The Reporting Person disclaims beneficial ownership of the reported securities, except to the extent of his pecuniary interest therein.
- (2) The reported securities are held by Calapasas West Partners, LP ("Calapasas West"). The Reporting Person is a managing member of Bulldog Holdings, LLC, the sole member of Full Value Advisors, LLC, which is the general partner of Calapasas West. In addition, the Reporting Person is a managing member of BCM, the investment adviser to Calapasas West. BCM has sole voting and dispositive power

Edgar Filing: Dakos Andrew - Form 4

over the reported securities. The Reporting Person disclaims beneficial ownership of the reported securities, except to the extent of his pecuniary interest therein.

The reported securities are held by Opportunity Partners, LP ("Opportunity Partners"). The Reporting Person is a limited partner of Opportunity Partners. The Reporting Person is also a managing member of Bulldog Holdings, LLC, the sole member of Kimball &

(3) Winthrop, LLC, which is the general partner of Opportunity Partners. In addition, the Reporting Person is a managing member of BCM, the investment adviser to Opportunity Partners. BCM has sole voting and dispositive power over the reported securities. The Reporting Person disclaims beneficial ownership of the reported securities, except to the extent of his pecuniary interest therein.

The reported securities are held by Steady Gain Partners, LP ("Steady Gain"). The Reporting Person is a managing member of BCM, the
 (4) investment adviser to Steady Gain. BCM has sole voting and dispositive power over the reported securities. The Reporting Person disclaims beneficial ownership of the reported securities, except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.