Edgar Filing: NanoString Technologies Inc - Form 4

NanoString Technologies Inc Form 4 November 23, 2016

November 2	23, 2016										
FORM	14							OMB A	PROVAL		
	UNITED	STATES SE	CURITIES A Washingtor			IGE CO	OMMISSION	OMB Number:	3235-0287		
Check th if no lon	cor			Expires:	January 31, 2005						
subject t Section Form 4 c	o SIAIEN 16. or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							average rs per 0.5		
Form 5 obligatic may con <i>See</i> Instr 1(b).	tinue. Section 17			lding Com	pany	Act of	1935 or Section	L			
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> WAITE CHARLES P JR							5. Relationship of Reporting Person(s) to Issuer				
			TG]	lillologies	line		(Check all applicable)				
(Last)	(First) ((First) (Middle) 3. Date of Earlie (Month/Day/Yea			arliest Transaction .			_X_Director10% Owner Officer (give titleOther (specify			
C/O OVP V PARTNER STREET	/ENTURE S, 11023 NE 116	11/	21/2016			1	below)	below)			
(Street) 4. If Amenda Filed(Month/				h/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
KIRKLAN	D, WA 98034					:	Person	ore than One Re	porting		
(City)	(State)	(Zip)	Table I - Non-	Derivative S	ecurit	ies Acqu	ired, Disposed of,	or Beneficial	ly Owned		
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date any (Month/Day/Year)		Code (Instr. 3, 4 and 5)				Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
C			Code V		(D)	Price	(Instr. 3 and 4)		C		
Common Stock	11/21/2016		S	588,024 (1)	D	\$ 21.25	0	I <u>(2)</u>	See footnote		
Common Stock							1,707	D			
D 1 D		6 I I		c	1 1.		P 4				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	Date	Amou Unde Secur	le and unt of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
WAITE CHARLES P JR C/O OVP VENTURE PARTNERS 11023 NE 116TH STREET KIRKLAND, WA 98034	Х						
Signatures							
/s/ Barbara A. Mery, Attorney-in-fact	11/22/2016						
**Signature of Reporting Person		Date					
Explanation of Responses:							

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 375,340 of these shares were sold by OVP Venture Partners VI, L.P., 211,915 of these shares were sold by OVP Venture Partners VII, (1) L.P., and 769 of these shares were sold by OVP VII Entrepreneurs Fund, L.P.

OVMC VI, LLC serves as the general partner of OVP Venture Partners VI, L.P. Charles P. Waite, Jr. is a managing member of OVMC VI, LLC and shares voting and investment power over the shares held by OVP Venture Partners VI, L.P. Charles P. Waite, Jr. is also a managing member of OVMC VII, LLC, the general partner of OVP Venture Partners VII, L.P. and OVP VII Entrepreneurs Fund, L.P.,

(2) and shares voting and investment power over the shares held by OVP Venture Partners VII, L.P. and OVP VII Entrepreneurs Fund, L.P. Mr. Waite, the other managing members of OVMC VI, LLC and OVMC VII, LLC, and each of the aforementioned entities disclaim beneficial ownership of the reported securities except to the extent of any pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.