### Edgar Filing: BROOKFIELD INVESTMENT MANAGEMENT INC. - Form 4

#### BROOKFIELD INVESTMENT MANAGEMENT INC.

Form 4

October 15, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

3235-0287 Number:

**OMB APPROVAL** 

January 31, Expires: 2005 Estimated average

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Check this box if no longer subject to Section 16. Form 4 or Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* **BROOKFIELD INVESTMENT** MANAGEMENT INC.

2. Issuer Name and Ticker or Trading Symbol

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Middle)

**Brookfield Global Listed** Infrastructure Income Fund Inc.

Director

Issuer

10% Owner

[INF]

(Month/Day/Year)

10/12/2018

3. Date of Earliest Transaction

below) Fund's Adviser

Officer (give title \_\_X\_ Other (specify below)

**BROOKFIELD PLACE, 250** VESEY STREET, 15TH FLOOR

(First)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10281-1023

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of	2. Transaction Date	2A. Deemed	3. 4. Securities Acquired (A)			5. Amount of	6.	7. Nature of	
Security	(Month/Day/Year)	Execution Date, if	Transactionr Disposed of (D)			Securities	Ownership	Indirect	
(Instr. 3)		any	Code (Instr. 3, 4 and 5)			Beneficially	Form:	Beneficial	
		(Month/Day/Year)	(Instr. 8)				Owned	Direct (D)	Ownership
							Following	or Indirect	(Instr. 4)
					(4)		Reported	(I)	
					(A)		Transaction(s)	(Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	10/12/2018		P	1,200 (1)	A	\$ 11.7034	182,724.68 (2)	D	
Common Stock	10/15/2018		P	7,386 (3)	A	\$ 11.8589	190,110.68 (4)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration	m: .1	or		
						Exercisable	Date		Number		
				~	<del></del>				of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Director 10% Owner Other

BROOKFIELD INVESTMENT MANAGEMENT INC. **BROOKFIELD PLACE** 250 VESEY STREET, 15TH FLOOR NEW YORK, NY 10281-1023

Fund's Adviser

## **Signatures**

/s/Waheed Olowa, on behalf of Brookfield Investment Management Inc.

10/15/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased in connection with the ongoing management of the Reporting Person's employee equity incentive program.
- (2) Shares purchased includes 3247.94 shares acquired through dividend reinvestment plan between July 1, 2018 and September 30, 2018.
- (3) Shares purchased in connection with the ongoing management of the Reporting Person's employee equity incentive program.
- (4) Shares purchased includes 3247.94 shares acquired through dividend reinvestment plan between July 1, 2018 and September 30, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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