YONG S W Form 4 April 12, 2019

### FORM 4

if no longer

subject to

Section 16.

Form 4 or

obligations

Form 5

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 Check this box

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Last)

Security

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

Issuer

below)

YONG S W

Symbol

TRIO-TECH INTERNATIONAL

(Check all applicable)

(Middle)

[TRT]

Director 10% Owner Other (specify Officer (give title

5. Relationship of Reporting Person(s) to

7 PANDAN VALLEY, 16-502

(First)

(Street)

04/11/2019

PRESIDENT AND CEO

POINCIANA TOWER

4. If Amendment, Date Original

3. Date of Earliest Transaction

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(Instr. 4)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Applicable Line)

**SINGAPORE**, U0 597631

(State) (Zip) (City) 1. Title of 2. Transaction Date 2A. Deemed

3. 4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or

Disposed of (D)

5. Amount of 6. Ownership Securities Form: Direct Beneficially Owned (I)

7. Nature of Indirect (D) or Indirect Beneficial Ownership

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8) (A)

Code

Following Reported Transaction(s)

or (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Transaction Date 3A. Deemed 1. Title of Derivative (Month/Day/Year) Execution Date, if TransactionDerivative Conversion

6. Date Exercisable and 5. Number of **Expiration Date** 

7. Title and Amount of **Underlying Securities** 

#### Edgar Filing: YONG S W - Form 4

| Security<br>(Instr. 3)               | or Exercise Price of Derivative Security |            | any<br>(Month/Day/Year) | Code (Instr. 8) |   | Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |     | (Month/Day/Year)    |                    | (Instr. 3 and 4) |                                     |
|--------------------------------------|--|------------|-------------------------|-----------------|---|---|-----|---------------------|--------------------|------------------|-------------------------------------|
|                                      |  |            |                         | Code            | V | (A)   | (D) | Date<br>Exercisable | Expiration<br>Date | Title            | Amount<br>or<br>Number<br>of Shares |
| Common<br>Stock<br>(Right to<br>Buy) | \$ 3.28                                  | 04/11/2019 |                         | A               |   | 40,000  |     | 04/11/2019          | 04/10/2024         | Common<br>Stock  | 40,000                              |

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

YONG S W 7 PANDAN VALLEY 16-502 POINCIANA TOWER SINGAPORE, U0 597631

PRESIDENT AND CEO

### **Signatures**

/s/ Yong Siew
Wai

\*\*Signature of Date

\*\*Signature of
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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