#### Edgar Filing: Guse Kyle - Form 4

| Guse Kyle<br>Form 4   |   |   |  |                              |   |  |   |  |   |  |
|---|---|---|--|------------------------------|---|--|---|--|---|--|
| May 31, 201   | 8                                       |   |  |                              |   |  |   |  |   |  |
| FORM  | 14                                      |   |  |                              |   |  |   |  | PPROVAL   |  |
|   | UNITED                                  | STATES  |  | RITIES .<br>Ishingtor        |   |  | N OMB<br>Number:  | 3235-0287  |   |  |
| Check thi<br>if no long<br>subject to<br>Section 1<br>Form 4 o<br>Form 5<br>obligation<br>may cont<br>See Instru<br>1(b). | 6.<br>r<br>Filed pur<br>inue.           | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |  |                              |   |  |   |  |   |  |
| (Print or Type F  | Responses)                              |   |  |                              |   |  |   |  |   |  |
| 1. Name and Address of Reporting Person <u>*</u><br>Guse Kyle   |   |   | Symbol   | er Name <b>an</b><br>SA GEN] |   | r Trading  | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)   |  |   |  |
| (Last)  | (First) (I                              | Middle)   | 3. Date of   | of Earliest 7                | Fransaction   |  | (Check an applicable)   |  |   |  |
| C/O ATOSS<br>INC., 107 S  |   | Day/Year)   |  |                              | Director 10% Owner<br>X Officer (give title Other (specify<br>below) below)<br>CFO, Gen Counsel and Secretary |  |   |  |   |  |
|   | (Street)                                |   | 4. If Amendment, Date Original Filed(Month/Day/Year) |                              |   |  | <ul><li>6. Individual or Joint/Group Filing(Check</li><li>Applicable Line)</li><li>_X_ Form filed by One Reporting Person</li></ul> |  |   |  |
| SEATTLE,  | WA 98104                                |   |  |                              |   |  | Form filed by<br>Person   | More than One R  | eporting  |  |
| (City)  | (State)                                 | (Zip)   | Tab  | ole I - Non-                 | Derivative  | e Securities A   | Acquired, Disposed  | of, or Beneficia   | lly Owned   |  |
|   | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deem<br>Execution<br>any<br>(Month/Da   | Date, if   | Code<br>(Instr. 8)           | 4. Securi<br>onAcquirec<br>Disposec<br>(Instr. 3,<br>Amount   | (A) or<br>l of (D)<br>4 and 5)<br>(A)<br>or            | Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)  | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Domindom D  | out on a commente 1'                    | for and 1   | one of   | unition 1-                   | ficially  | mod dire et  | on in dimently.   |  |   |  |
| keminder: Kep   | ort on a separate line                  | o for each cl   | ass of sec   | urities bene                 | Perso<br>infor<br>requi   | ons who re<br>nation con<br>red to resp<br>ays a curre | or indirectly.<br>spond to the colle<br>tained in this form<br>ond unless the fo<br>ntly valid OMB co                               | n are not<br>rm  | SEC 1474<br>(9-02)  |  |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5. Number      | 6. Date Exercisable and | 7. Title and Amount o |
|-------------|-------------|---------------------|--------------------|------------|----------------|-------------------------|-----------------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | nof Derivative | Expiration Date         | Underlying Securities |
| Security    | or Exercise |                     | any                | Code       | Securities     | (Month/Day/Year)        | (Instr. 3 and 4)      |

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| (Instr. 3)                                    | Price of<br>Derivative<br>Security |            | (Month/Day/Year) | (Instr. | 8) | Acquired<br>(A) or<br>Disposed<br>(D)<br>(Instr. 3,<br>and 5) | d of |                     |                    |                 |  |
|---|------------------------------------|------------|------------------|---------|----|---|------|---------------------|--------------------|-----------------|--|
|   |                                    |            |                  | Code    | v  | (A)   | (D)  | Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares |
| Series B<br>Convertible<br>Preferred<br>Stock | \$ 3.52 <u>(1)</u>                 | 05/30/2018 |                  | Р       | V  | 8   |      | 05/30/2018          | <u>(2)</u>         | Common<br>Stock | 2,273<br>( <u>3)</u>                   |
| Warrants                                      | \$ 4.05                            | 05/30/2018 |                  | Р       | V  | 2,272   |      | 05/30/2018          | 05/30/2022         | Common<br>Stock | 2,272                                  |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                                |       |  |  |  |
|---|---------------|-----------|--------------------------------|-------|--|--|--|
|   | Director      | 10% Owner | Officer                        | Other |  |  |  |
| Guse Kyle<br>C/O ATOSSA GENETICS INC.<br>107 SPRING STREET<br>SEATTLE, WA 98104 |               |           | CFO, Gen Counsel and Secretary |       |  |  |  |

## Signatures

/s/ Kyle Guse 05/31/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of Series B Convertible Preferred Stock is convertible into the number of shares of Common Stock equal to the stated value of \$1,000 divided by \$3.52.
- (2) Shares of Series B Convertible Preferred Stock do not expire.
- (3) No fractional shares will be issued upon conversion. At its election, the Company will either issue a cash adjustment or round up to the next whole share. The number reflected here assumes rounding up to the next whole share.
- (4) Shares of Series B Convertible Preferred Stock and Warrants were issued as part of a unit, with each unit consisting of one share of Series B Convertible Preferred Stock and 284 Warrants.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.