Edgar Filing: TAPIMMUNE INC - Form 4

| TAPIMMUNE II Form 4 | | | | | | | | | | | |
|--|---------------------------------|--|---|--|---|---|--|--|---|--|--|
| November 16, 20 | | | | | | | | | PPROVAL | | |
| | UNITED | STATES | | RITIES A | | | E COMMISSIO | N OMB Number: | 3235-0287 | | |
| Check this boy if no longer subject to Section 16. Form 4 or | | | | | | | | Estimated burden hou response | urs per | | |
| Form 5 obligations may continue. See Instruction 1(b). | Section 17(| ed pursuant to Section 16(a) of the Securities Exchange Act of 1934, on 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | |
| (Print or Type Respo | onses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Grisewood Sherry | | | 2. Issuer Name and Ticker or Trading Symbol TAPIMMUNE INC [TPIV] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| (Last) | (First) (1 | Middle) | 3. Date of Earliest Transaction | | | | (Check all applicable) | | | | |
| 50 NORTH LAURA STREET, SUITE 2500 | | | (Month/Day/Year) 11/12/2015 | | | | X_ Director 10% Owner Officer (give title Other (specify below) below) | | | | |
| (Street) | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | |
| JACKSONVILI | LE, FL 32202 | 2 | | | | | Form filed by Person | More than One R | eporting | | |
| (City) | (State) | (Zip) | Tab | ole I - Non-J | Derivative | Securities A | Acquired, Disposed | of, or Beneficia | lly Owned | | |
| | ansaction Date nth/Day/Year) | 2A. Deemo Execution any (Month/Da | Date, if | 3. Transactic Code (Instr. 8) Code V | 4. Securit nAcquired Disposed (Instr. 3, 4 Amount | (A) or of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Reminder: Report or | n a separate line | for each cl | ass of sec | urities bene | ficially ow | ned directly | or indirectly. | | | | |
| | | | | | Perso inform requir | ns who res nation cont red to resp ays a curre | spond to the colle tained in this forr ond unless the fo ntly valid OMB co | n are not orm | SEC 1474 (9-02) | | |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number of | 6. Date Exercisable and | 7. Title and Amount |
|-------------|-------------|---------------------|--------------------|-----------|--------------|-------------------------|-----------------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | orDerivative | Expiration Date | Underlying Securities |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) |

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| (Instr. 3) Price of Derivative | | (Month/Day/Year) | (Instr. 8) | | Acquired (A) or Disposed of (D) | | | | | |
|-----------------------------------|-----------------------------|------------------|------------|------------|------------------------------------|-------------------|-------------|------------|--------|-----------------|
| | Security | | | | | (Instr. 3, 4, and | | | | |
| | | | | | 5) | | | | | |
| | | | | Code V | (A) | (D) | | Expiration | Title | Amoun |
| | | | | | | | Exercisable | Date | | Numbe Shares |
| Employee | | | | | | | | | | Sinares |
| Employee Stock | | | | | | | | | | |
| Option | \$ 0.605 | 11/12/2015 | | А | 150,000 | | 11/12/2015 | 11/12/2025 | Common | 150,0 |
| (Right to | (1) | | | | (1) | | | | Stock | , |
| Buy) | | | | | | | | | | |
| | | | | | | | | | | |
| Reporting Owners | | | | | | | | | | |
| | | | | | | | | | | |
| Rer | orting Owner Name / Address | | | Relation | nships | | | | | |
| | | Director | 10% Owne | er Officer | Oth | er | | | | |
| Grisewood | Sherry | | | | | | | | | |
| | • | REET, SUITE 2 | 500 X | | | | | | | |

50 NORTH LAURA STREET, SUITE 2500 JACKSONVILLE, FL 32202

Signatures

/s/ Mark A. Catchur, as Attorney-in-Fact for Sherry Grisewood

**Signature of Reporting Person

11/16/2015

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents options granted under the Company's 2014 Omnibus Stock Ownership Plan in connection with service as a director of the Company. These shares vest immediately. The option exercise price is the closing price on the date of the grant, November 12, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.