

BALL RICHARD
Form 4
December 31, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BALL RICHARD

2. Issuer Name and Ticker or Trading Symbol
LANDMARK BANCORP INC
[LARK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner
 Officer (give title below) Other (specify below)

2006 BROADWAY, SUITE D

12/12/2018

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

GREAT BEND, KS 67530

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | | (A) or (D) | Price | | |
| Common Stock | 12/12/2018 | | P(1) | 200 | A \$ 22.9 | 108,136 (2) | D |
| Common Stock | 12/13/2018 | | P(1) | 302 | A \$ 22.8493 | 108,438 (2) | D |
| Common Stock | 12/17/2018 | | P(1) | 4,400 | A \$ 22.5857 | 112,838 (2) | D |
| Common Stock | 12/18/2018 | | P(1) | 1,598 | A \$ 22.4536 | 114,436 (2) | D |
| Common Stock | 12/19/2018 | | P(1) | 988 | A \$ 22.4232 | 115,424 (2) | D |

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| | | | | | | | | |
|--------------|------------|------------------|-------|---|------------|------------------------|---|-------------|
| Common Stock | 12/19/2018 | P ⁽¹⁾ | 200 | A | \$ 22.24 | 115,624 ⁽²⁾ | D | |
| Common Stock | 12/20/2018 | P ⁽¹⁾ | 600 | A | \$ 22.2776 | 116,224 ⁽²⁾ | D | |
| Common Stock | 12/21/2018 | P ⁽¹⁾ | 500 | A | \$ 22.15 | 116,724 ⁽²⁾ | D | |
| Common Stock | 12/21/2018 | P ⁽¹⁾ | 1,212 | A | \$ 22.213 | 117,936 ⁽²⁾ | D | |
| Common Stock | | | | | | 26,717 ⁽²⁾ | I | IRA/Pension |
| Common Stock | | | | | | 1,122 ⁽²⁾ | I | Spouse IRA |
| Common Stock | | | | | | 665 ⁽²⁾ | I | As Trustee |
| Common Stock | | | | | | 305 ⁽²⁾ | I | Spouse |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|

| Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
|------------------|-----------------|-------|----------------------------|
|------------------|-----------------|-------|----------------------------|

Code V (A) (D)

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--------------------------------|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |

BALL RICHARD
2006 BROADWAY, SUITE D X
GREAT BEND, KS 67530

Signatures

/s/ Mark A. Herpich, as Attorney-in-Fact for
Richard Ball

12/31/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The December purchases reported in the Form 4 were effected pursuant to a Rule 10b 5-1 trading plan adopted by the reporting person on December 12, 2018.
 - (2) As adjusted for the Company's 5% stock dividend in December 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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