#### Edgar Filing: RUDOLPH TECHNOLOGIES INC - Form 4

RUDOLPH TECHNOLOGIES INC Form 4 February 17, 2006						
FORM 4 UNITED STATES			OMB AF	PPROVAL		
UNITED STATES	S SECURITIES AND EXCHANGE Washington, D.C. 20549	COMMISSION	OMB Number:	3235-0287 January 31,		
Subject to Section 16. Form 4 or Form 5 obligations may continue Section 17(a) of the	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940					
(Print or Type Responses)						
1. Name and Address of Reporting Person <u>*</u> JOHNSON ARDELLE R	2. Issuer Name <b>and</b> Ticker or Trading Symbol RUDOLPH TECHNOLOGIES INC [RTEC]	<ul><li>5. Relationship of Reporting Person(s) to Issuer</li><li>C (Check all applicable)</li></ul>				
(Last) (First) (Middle) C/O RUDOLPH TECHNOLOGIES, INC., ONE RUDOLPH ROAD, PO BOX 1000	3. Date of Earliest Transaction (Month/Day/Year) 02/15/2006	Director X Officer (give t below) VP, Corj		Owner er (specify ng		
(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joi Applicable Line) _X_Form filed by O	ne Reporting Pe	rson		
FLANDERS, NJ 07836		Form filed by Me Person	ore than One Re	porting		
(City) (State) (Zip)	Table I - Non-Derivative Securities A	cquired, Disposed of,	or Beneficial	ly Owned		
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deen Execution any (Month/D	Date, if TransactionAcquired (A) or Code Disposed of (D)	SecuritiesForBeneficially(D)Owned(I)	orm: Direct )) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Reminder: Report on a separate line for each c		r indirectly.				

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh	
Incentive Stock Option (right to buy)	\$ 10	02/15/2006		А	315	<u>(1)</u>	10/22/2014	Common Stock	31	
Incentive Stock Option (right to buy)	\$ 14.12	02/15/2006		А	20,763	(2)	08/18/2010	Common Stock	20,7	
Incentive Stock Option (right to buy)	\$ 14.81	02/15/2006		А	212	(3)	01/25/2016	Common Stock	21	
Incentive Stock Option (right to buy)	\$ 24.2	02/15/2006		А	130	<u>(4)</u>	02/06/2014	Common Stock	13	
Non-Qualified Stock Option (right to buy)	\$ 10	02/15/2006		А	605	(5)	10/22/2014	Common Stock	60	
Non-Qualified Stock Option (right to buy)	\$ 13.59	02/15/2006		А	1,906	<u>(6)</u>	07/30/2014	Common Stock	1,9	
Non-Qualified Stock Option (right to buy)	\$ 13.62	02/15/2006		А	7,625	(7)	12/30/2014	Common Stock	7,6	
Non-Qualified Stock Option (right to buy)	\$ 14.12	02/15/2006		А	13,549	(2)	08/18/2010	Common Stock	13,5	
Non-Qualified Stock Option (right to buy)	\$ 14.81	02/15/2006		А	10,051	(3)	01/25/2016	Common Stock	10,0	
Non-Qualified Stock Option (right to buy)	\$ 15.87	02/15/2006		А	4,772	(8)	03/07/2015	Common Stock	4,7	
Non-Qualified Stock Option (right to buy)	\$ 16.71	02/15/2006		А	287	<u>(9)</u>	07/21/2015	Common Stock	28	
Non-Qualified	\$ 24.2	02/15/2006		А	2,168	(10)	02/06/2014	Common	2,1	

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Stock Option (right to buy)

# **Reporting Owners**

**Reporting Owner Name / Address** 

Relationships

Director 10% Owner Officer

Other

Stock

JOHNSON ARDELLE R C/O RUDOLPH TECHNOLOGIES, INC. ONE RUDOLPH ROAD, PO BOX 1000 FLANDERS, NJ 07836

VP, Corporate Marketing

### Signatures

By: /s/ Robert A. Koch For: Ardelle R. Johnson

\*\*Signature of Reporting Person

Date

02/17/2006

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option to purchase 414 shares of August common stock for \$7.62 per share, initially granted by August on October 22, 2004, which was fully vested, was assumed by Rudolph in the Merger and replaced with the referenced fully vested option.

Pursuant to a merger agreement by and among the August Technology Corporation ("August"), Rudolph Technologies, Inc. ("Rudolph") and NS Merger Sub, Inc., a wholly-owned subsidiary of Rudolph (the "Merger"), the option to purchase 45,000 shares of August

(2) and No Merger buy, inc., a whon yow ned substanty of Redolph (the Werger), the option to parenase 45,000 shares of Regist common stock for \$10.76 per share, initially granted by August on August 18, 2003, which was fully vested, was assumed by Rudolph in the Merger and replaced with the referenced fully vested options.

The option to purchase 13,461 shares of August common stock for \$11.29 per share, initially granted by August on January 25, 2006, (3) which provided for vesting in annual increments of 20% of the shares beginning January 25, 2006, was assumed by Rudolph in the Merger and replaced with the referenced options with the same vesting terms.

- (4) The option to purchase 171 shares of August common stock for \$18.45 per share, initially granted by August on February 6, 2004, which was fully vested, was assumed by Rudolph in the Merger and replaced with the referenced fully vested option.
- (5) The option to purchase 794 shares of August common stock for \$7.62 per share, initially granted by August on October 22, 2004, which was fully vested, was assumed by Rudolph in the Merger and replaced with the referenced fully vested option.

The option to purchase 2,500 shares of August common stock for \$10.36 per share, initially granted by August on July 30, 2004, which
 provided for vesting in annual increments of 20% of the shares beginning July 30, 2004, was assumed by Rudolph in the Merger and replaced with the referenced option with the same vesting terms.

The option to purchase 10,000 shares of August common stock for \$10.38 per share, initially granted by August on December 30, 2004,
(7) which provided for vesting 34% of the shares on December 30, 2005 and 33% on December 30, 2006 and 2007, was assumed by Rudolph in the Merger and replaced with the referenced option with the same vesting terms.

- (8) The option to purchase 6,259 shares of August common stock for \$12.10 per share, initially granted by August on March 7, 2005, which was fully vested, was assumed by Rudolph in the Merger and replaced with the referenced fully vested option.
- (9) The option to purchase 377 shares of August common stock for \$12.74 per share, initially granted by August on July 21, 2005, which was fully vested, was assumed by Rudolph in the Merger and replaced with the referenced fully vested option.
- (10) The option to purchase 2,844 shares of August common stock for \$18.45 per share, initially granted by August on February 6, 2004, which was fully vested, was assumed by Rudolph in the Merger and replaced with the referenced fully vested option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.