

Edgar Filing: HENNESSY ADVISORS INC - Form 8-K

HENNESSY ADVISORS INC  
Form 8-K  
February 27, 2004

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SECURITIES AND EXCHANGE COMMISSION

UNITED STATES

Washington, DC 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported) February 27, 2004  
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HENNESSY ADVISORS, INC.

(Exact name of registrant as specified in its charter)

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| California<br>-----<br>(State or other jurisdiction<br>of incorporation) | 000-49872<br>-----<br>Commission<br>File Number) | 68-0176227<br>-----<br>(IRS Employer<br>Identification No.) |
|--|--|---|

750 Grant Avenue, Suite 100

94945  
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Novato, California  
(Address of principal executive offices)

(Zip Code)

Registrant's telephone number including area code: (415) 899-1555  
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Not Applicable

(Former name or former address, if changed since last report)

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Item 9. Regulation FD Disclosure

On February 27, 2004, the Company announced that shareholders of four mutual funds of Lindner Asset Management, Inc., based in Deerfield, Illinois, voted to approve the mergers between the Lindner Funds and the Hennessy Funds. A

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copy of the announcement is attached as Exhibit 99.

Item 7. Financial Statements and Exhibits

(c) Exhibits

99 Press Release

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Pursuant to the requirements of the Securities and Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

HENNESSY ADVISORS, INC.  
(registrant)

February 27, 2004

By: /s/ Neil J. Hennessy

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Neil J. Hennessy  
President

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