

Gurholt Helen E
Form 3
May 02, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Gurholt Helen E | | (Month/Day/Year) | SMITH A O CORP [AOS] | |
| (Last) | (First) | (Middle) | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| | | 05/01/2019 | | |
| A. O. SMITH CORPORATION,Â 11270 WEST PARK PLACE | | | (Check all applicable) | |
| (Street) | | | <input type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| | | | <input checked="" type="checkbox"/> Officer | <input type="checkbox"/> Other |
| | | | (give title below) (specify below) | |
| | | | Vice President and Controller | |
| MILWAUKEE,Â WIÂ 53224 | | | 6. Individual or Joint/Group Filing(Check Applicable Line) | |
| (City) | (State) | (Zip) | <input checked="" type="checkbox"/> Form filed by One Reporting Person | |
| | | | <input type="checkbox"/> Form filed by More than One Reporting Person | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 1,084 | D | Â |
| Common Stock | 308.7954 ⁽¹⁾ | I | 401(K) Plan Trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security | 4. Conversion or Exercise | 5. Ownership Form of | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|--|---------------------------|----------------------|---|
|--|--|--|---------------------------|----------------------|---|

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| | Date Exercisable | Expiration Date | (Instr. 4) Title | Amount or Number of Shares | Price of Derivative Security | Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | |
|---------------------------------------|------------------|-----------------|---------------------|----------------------------|------------------------------|--|---|
| Employee Stock Options (Right to Buy) | Â (2) | Â (2) | Common Stock | 9,810 | \$ 0 | D | Â |
| Restricted Stock Units | Â (3) | Â (3) | Common Stock | 930 | \$ 0 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Gurholt Helen E A. O. SMITH CORPORATION 11270 WEST PARK PLACE MILWAUKEE, WI 53224 | Â | Â | Â Vice President and Controller | Â |

Signatures

James F. Stern, Attorney-in-Fact for Helen E. Gurholt
Date: 05/02/2019

__Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The number of underlying securities is based on the stock fund balance of April 29, 2019. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 97.82% company stock and 2.18% money market fund. The stock account balance reflected in this report is based on an April 29, 2019, stock fund price of \$203.423235 per share.
- (2) Employee stock options were granted under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under Rule 16b-3: 1,400 stock options were granted on 07/22/2013; 1,330 stock options were granted on 02/10/2014; 1,280 stock options were granted on 02/09/2015; 1,900 stock options were granted on 02/09/2015; 1,900 stock options were granted on 02/08/2016; 1,265 stock options were granted on 02/13/2017; 1,110 stock options were granted on 02/12/2018 and 1,525 stock options were granted on 02/11/2019. All stock options become exercisable in three annual installments of 1/3 of the award starting one year after the grant date. All employee stock options expire ten years after the date of the award.
- (3) Restricted stock units were granted under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under Rule 16b-3: 330 restricted stock units were granted on 02/13/2017; 265 restricted stock units were granted on 02/12/2018; and 335 restricted stock units were granted on 02/11/2019. The restricted stock units become payable in Common Stock on the vesting date, which is three years after the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. t:1px solid #000000;">

3.
SEC USE ONLY

4.

CITIZENSHIP OR PLACE OF ORGANIZATION

New Hampshire
NUMBER OF
SHARES
BENEFICIALLY
OWNED BY
EACH
REPORTING
PERSON
WITH

5.

SOLE VOTING POWER

6.

SHARED VOTING POWER

1,221,163 (a)

7.

SOLE DISPOSITIVE POWER

8.

SHARED DISPOSITIVE POWER

1,221,163 (a)

9.

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

1,221,163 (a)

10.

CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

..

11.

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

1.4% (b)

12.

TYPE OF REPORTING PERSON

Explanation of Responses:

OO

Represents Holding Units and an equal number of shares of Class B common stock of the Company. Pursuant to the terms of the Exchange Agreement dated as of August 5, 2015, by and among the Company, Pla-Fit Holdings, (a) LLC and the holders from time to time of Holding Units and shares of Class B common stock of the Company, each Holding Unit is convertible (along with an equal number of shares of Class B common stock of the Company) for shares of Class A common stock of the Company on a one-to-one basis and has no expiration date.

The calculation assumes that there is a total of 89,390,405 shares of Class A common stock of the Company outstanding, which is the sum of (i) 88,169,242 shares of Class A common stock outstanding as of November 1, 2018, as reported in the Company's Quarterly Report on Form 10-Q filed with the Commission on November 7, (b) 2018, and (ii) 1,221,163 shares of Class A common stock that are issuable in exchange for the 1,221,163 Holding Units and corresponding shares of Class B common stock held by The Christopher J. Rondeau Irrevocable GST Trust of 2012, u/d/t 11/08/12.

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NAME OF REPORTING PERSON

1. The Christopher J. Rondeau GRAT II
of 2018 u/d/t dated October 15, 2018
CHECK THE APPROPRIATE BOX IF
A MEMBER OF A GROUP*

2. (a) .. (b) ..
3. SEC USE ONLY

4. CITIZENSHIP OR PLACE OF
ORGANIZATION

New Hampshire

5. NUMBER OF
SOLE VOTING POWER
OF

SHARES
SHARED VOTING POWER
BENEFICIALLY

- OWNED 1,000,000 (a)
BY 7
SOLE DISPOSITIVE POWER
EACH

REPORTING PERSON
SHARED DISPOSITIVE POWER

- WITH 1,000,000 (a)
AGGREGATE AMOUNT
BENEFICIALLY OWNED BY EACH

9. REPORTING PERSON

1,000,000 (a)
CHECK BOX IF THE AGGREGATE
AMOUNT IN ROW (9) EXCLUDES

10. CERTAIN SHARES

..
PERCENT OF CLASS
REPRESENTED BY AMOUNT IN

11. ROW (9)

1.1% (b)
TYPE OF REPORTING PERSON

12. OO

(a)

Explanation of Responses:

Represents Holding Units and an equal number of shares of Class B common stock of the Company. Pursuant to the terms of the Exchange Agreement dated as of August 5, 2015, by and among the Company, Pla-Fit Holdings, LLC and the holders from time to time of Holding Units and shares of Class B common stock of the Company, each Holding Unit is convertible (along with an equal number of shares of Class B common stock of the Company) for shares of Class A common stock of the Company on a one-to-one basis and has no expiration date.

(b) The calculation assumes that there is a total of 89,169,242 shares of Class A common stock of the Company outstanding, which is the sum of (i) 88,169,242 shares of Class A common stock outstanding as of November 1, 2018, as reported in the Company's Quarterly Report on Form 10-Q filed with the Commission on November 7, 2018 and (ii) 1,000,000 shares of Class A common stock that are issuable in exchange for the 1,000,000 Holding Units and corresponding shares of Class B common stock held by The Christopher J. Rondeau GRAT II u/d/t dated October 15, 2018

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NAME OF REPORTING PERSON

1. Christopher J. Rondeau
CHECK THE APPROPRIATE BOX IF
2. A MEMBER OF A GROUP*

- (a) (b)
3. SEC USE ONLY

- CITIZENSHIP OR PLACE OF
4. ORGANIZATION

United States

- NUMBER OF ~~SOLE~~ VOTING POWER
5. OF ~~SHARES~~ SHARED VOTING POWER
BENEFICIALLY
OWNED 5,901,873 (a)(b)
BY 7. SOLE DISPOSITIVE POWER
EACH REPORTING PERSON
8. WITH 5,901,873 (a)(b)
AGGREGATE AMOUNT
BENEFICIALLY OWNED BY EACH
9. REPORTING PERSON

- 5,901,873 (a)(b)
CHECK BOX IF THE AGGREGATE
AMOUNT IN ROW (9) EXCLUDES
10. CERTAIN SHARES

- ..
PERCENT OF CLASS
REPRESENTED BY AMOUNT IN
11. ROW (9)

- 6.3% (c)
TYPE OF REPORTING PERSON
12. IN

Explanation of Responses:

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- Represents Holding Units and an equal number of shares of Class B common stock of the Company. Pursuant to the terms of the Exchange Agreement dated as of August 5, 2015, by and among the Company, Pla-Fit Holdings, (a) LLC and the holders from time to time of Holding Units and shares of Class B common stock of the Company, each Holding Unit is convertible (along with an equal number of shares of Class B common stock of the Company) for shares of Class A common stock of the Company on a one-to-one basis and has no expiration date. Includes 3,527,147 Holding Units and 3,527,147 shares of Class B common stock held by The Christopher J. Rondeau Revocable Trust of 2006, u/d/t 05/15/06; 1,221,163 Holding Units and 1,221,163 shares of Class B common stock held by The Christopher J. Rondeau Irrevocable GST Trust of 2012, u/d/t 11/08/12; and 1,000,000 (b) Holding Units and 1,000,000 shares of Class B common stock held by The Christopher J. Rondeau GRAT II u/d/t dated October 15, 2018; and options to purchase 153,563 shares of Class A common stock that are exercisable within 60 days of December 31, 2018.
- The calculation assumes that there is a total of 94,071,115 shares of Class A common stock of the Company outstanding, which is the sum of (i) 88,169,242 shares of Class A common stock outstanding as of November 1, 2018, as reported in the Company's Quarterly Report on Form 10-Q filed with the Commission on November 7, (c) 2018, (ii) 5,748,310 shares of Class A common stock that are issuable in exchange for the 5,748,310 Holding Units and corresponding shares of Class B common stock beneficially owned by Christopher Rondeau, and (iii) 153,563 shares of Class A common stock that are issuable upon the exercise of options to purchase shares of Class A common stock that are exercisable within 60 days of December 31, 2018.

Item 1(a). Name of Issuer

The name of the issuer to which this filing on Schedule 13G relates is Planet Fitness, Inc. (the "Company").

Item 1(b). Address of Issuer's Principal Executive Offices

The principal executive offices of the Company are located at 4 Liberty Lane West, Hampton, New Hampshire 03842.

Item 2(a). Name of Person Filing

This statement is being filed on behalf of the following (collectively, the "Reporting Persons"): (1) The Christopher J. Rondeau Revocable Trust of 2006, u/d/t 05/15/06 ("Rondeau Revocable Trust"); (2) The Christopher J. Rondeau Irrevocable GST Trust of 2012, u/d/t 11/08/12 ("Rondeau Irrevocable Trust"); (3) The Christopher J. Rondeau GRAT II of 2018 u/d/t October 15, 2018 ("Rondeau GRAT II Trust"); and (4) Christopher J. Rondeau.

Mr. Rondeau and his wife, Michelle L. Rondeau, are trustees of the Rondeau Revocable Trust. Mr. Rondeau's attorney, Michael Bass, and Mrs. Rondeau are trustees of the Rondeau Irrevocable Trust. Mr. Rondeau is the trustee of the Rondeau GRAT II Trust.

The Reporting Persons have entered into a Joint Filing Agreement, dated February 14, 2019, pursuant to which the Reporting Persons have agreed to file this statement jointly in accordance with the provisions of Rule 13d-1(k)(1) under the Securities Exchange Act of 1934.

Item 2(b). Address of Principal Business Office or, if none, Residence

The principal business address of the Reporting Persons is 4 Liberty Lane West, Hampton, New Hampshire 03842.

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Item 2(c). Citizenship

The citizenship for the Rondeau Revocable Trust is New Hampshire.
The citizenship for the Rondeau Irrevocable Trust is New Hampshire.
The citizenship for the Rondeau GRAT II Trust is New Hampshire.
The citizenship of Mr. Rondeau is the United States.

Item 2(d). Title of Class of Securities

The class of equity securities of the Company to which this filing on Schedule 13G relates is Class A common stock, par value \$0.0001 per share.

Item 2(e). CUSIP Number

The CUSIP number of the Company's Class A common stock is 72703H101.

Item 3. If this statement is filed pursuant to §§ 240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is
a: Not applicable.

- (a) Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o).
- (b) Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 73c).
- (c) Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).
- (d) Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8).
- (e) An investment adviser in accordance with §13d-1(b)(1)(ii)(E).
- (f) An employee benefit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F).
- (g) A parent holding company or control person in accordance with §240.13d-1(b)(1)(ii)(G).
- (h) A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813).
- (i) A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3).
- (j) Group, in accordance with §240.13d-1(b)(1)(ii)(J).

If this statement is filed pursuant to §240.13d-1(c), check this box.

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Item 4. Ownership

Item 4(a). Amount beneficially owned

This Schedule 13G is being filed on behalf of the Reporting Persons. As of the close of business on December 31, 2018, the following shares were held by the Reporting Persons:

The Rondeau Revocable Trust held 3,527,147 Holding Units and 3,527,147 shares of Class B common stock of the Company, representing approximately 3.8% of (i) the Company's outstanding shares of Class A common stock and (ii) shares of Class A common stock issuable in exchange for the Holding Units and shares of Class B common stock directly held by the Rondeau Revocable Trust.

The Rondeau Irrevocable Trust held 1,221,163 Holding Units and 1,221,163 shares of Class B common stock of the Company, representing approximately 1.4% of (i) the Company's outstanding shares of Class A common stock and (ii) shares of Class A common stock issuable in exchange for the Holding Units and shares of Class B common stock directly held by the Rondeau Irrevocable Trust.

The Rondeau GRAT II Trust held 1,000,000 Holding Units and 1,000,000 shares of Class B common stock of the Company, representing approximately 1.1% of (i) the Company's outstanding shares of Class A common stock and (ii) shares of Class A common stock issuable in exchange for the Holding Units and shares of Class B common stock directly held by the Rondeau Irrevocable Trust.

Mr. Rondeau held options to purchase 153,563 shares of Class A common stock that were exercisable within 60 days of December 31, 2018.

Pursuant to the terms of the Exchange Agreement dated as of August 5, 2015, by and among the Company, Pla-Fit Holdings, LLC and the holders from time to time of Holding Units and shares of Class B common stock of the Company, each Holding Unit is convertible (along with an equal number of shares of Class B common stock of the Company) for shares of Class A common stock of the Company on a one-to-one basis and has no expiration date.

As a result of the foregoing and the relationships described in 2(a), the Reporting Persons may be deemed to beneficially own in the aggregate 5,901,873 shares of Class A common stock of the Company, which represents (i) 5,748,310 shares of Class A common stock that would be received in the aggregate by the Reporting Persons upon exchange of all of the Holding Units and shares of Class B common stock beneficially owned by the Reporting Persons and (ii) 153,563 shares of Class A common stock that would be received in the aggregate by Mr. Rondeau upon the exercise of options to purchase 153,563 shares of Class A common stock. The 5,901,873 shares of Class A common stock represents approximately 6.3% of the total number of outstanding shares of Class A common stock (based on a total of 94,071,115 shares of Class A common stock of the Company outstanding, which is the sum of (i) 88,169,242 shares of Class A common stock outstanding as of November 1, 2018, as reported in the Company's Quarterly Report on Form 10-Q filed with the Commission on November 7, 2018, (ii) 5,748,310 shares of Class A common stock that are issuable in exchange for the 5,748,310 Holding Units and corresponding shares of Class B common stock beneficially owned by the Reporting Persons) and (iii) 153,563 shares of Class A common stock that are issuable upon the exercise of options to purchase shares of Class A common stock that are exercisable within 60 days of December 31, 2018.

Item 4(b). Percent of Class

See Item 4(a) hereof.

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Item 4(c). Number of shares as to which such person has:

Rows 5 through 11 of the cover pages are incorporated herein by reference.

Item 5. Ownership of Five Percent or Less of a Class

Not Applicable.

Item 6. Ownership of More than Five Percent on Behalf of Another Person

Not Applicable.

Item Identification and Classification of the Subsidiary which Acquired the Security Being Reported on by the

7. Parent Holding Company:

Not Applicable.

Item 8. Identification and Classification of Members of the Group

Not Applicable.

Item 9. Notice of Dissolution of Group

Not Applicable.

Item 10. Certification

Not Applicable.

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SIGNATURES

After reasonable inquiry and to the best of my knowledge and belief, the undersigned certifies that the information set forth in this Statement is true, complete and correct.

Dated: February 14, 2019

By: /s/ Christopher J. Rondeau
Christopher J. Rondeau

The Christopher J. Rondeau Revocable Trust of 2006, u/d/t 05/15/06

By: /s/ Christopher J. Rondeau
Christopher J. Rondeau, as Trustee of The Christopher J. Rondeau Revocable Trust of 2006, u/d/t 05/15/06

The Christopher J. Rondeau Irrevocable GST Trust of 2012, u/d/t 11/08/12

By: /s/ Michelle L. Rondeau
Michelle L. Rondeau, as Trustee of The Christopher J. Rondeau Irrevocable GST Trust of 2012, u/d/t 11/08/12

By: /s/ Michael A. Bass
Michael A. Bass, as Trustee of The Christopher J. Rondeau Irrevocable GST Trust of 2012, u/d/t 11/08/12
The Christopher J. Rondeau GRAT II of 2018 u/d/t October 15, 2018

By: /s/ Christopher J. Rondeau
Christopher J. Rondeau, as Trustee of The Christopher J. Rondeau GRAT II of 2018 u/d/t October 15, 2018