

ETHAN ALLEN INTERIORS INC  
Form 4  
March 26, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Paccione Tracy

2. Issuer Name and Ticker or Trading Symbol  
ETHAN ALLEN INTERIORS INC  
[ETH]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
03/22/2013

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
VP, Merchandising

ETHAN ALLEN DRIVE  
(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

DANBURY, CT 06811  
(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)		
			Code	V	Amount	(A) or (D)	Price		
Stock Options (Right to Buy)	03/22/2013		M		700	A	\$ 29.55	1,368	D
Stock Options (Right to Buy)	03/22/2013		S		700	D	\$ 31.71	668	D
Stock Options (Right to Buy)	03/22/2013		M		500	A	\$ 25.71	1,168	D

Edgar Filing: ETHAN ALLEN INTERIORS INC - Form 4

Stock Options (Right to Buy)	03/22/2013	S	500	D	\$ 31.65	668	D
Stock Options (Right to Buy)	03/22/2013	M	500	A	\$ 17.6	1,168	D
Stock Options (Right to Buy)	03/22/2013	S	500	D	\$ 31.64	668	D
Stock Options (Right to Buy)	03/22/2013	M	1,250	A	\$ 11.74	1,918	D
Stock Options (Right to Buy)	03/22/2013	S	1,250	D	\$ 31.61	668	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Options (Right to Buy)	\$ 29.55	03/22/2013		M	700	04/14/2004 04/14/2013	Common Stock	700
	\$ 25.71	03/22/2013		M	500	06/20/2009 06/20/2018		500

Stock Options (Right to Buy)								Common Stock	
Stock Options (Right to Buy)	\$ 17.6	03/22/2013	M	500	11/05/2009	11/05/2018		Common Stock	500
Stock Options (Right to Buy)	\$ 11.74	03/22/2013	M	1,250	11/12/2010	11/12/2019		Common Stock	1,250

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Paccione Tracy ETHAN ALLEN DRIVE DANBURY, CT 06811			VP, Merchandising	

## Signatures

Tracy Paccione                      03/26/2013  
 \*\*Signature of                      Date  
 Reporting Person

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.