

BRUECK STEVEN R J  
 Form 4  
 November 14, 2005

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 BRUECK STEVEN R J

2. Issuer Name and Ticker or Trading Symbol  
 LIGHTPATH TECHNOLOGIES INC [LPTH]

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)

(Last) (First) (Middle)  
 2603 CHALLENGER TECH CT., SUITE 100  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
 11/10/2005

Director  10% Owner  
 Officer (give title below)  Other (specify below)

ORLANDO, FL 32826

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D) Code V Amount (D) Price			
Class A Common					875	D	
Class A Common					875	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

Edgar Filing: BRUECK STEVEN R J - Form 4

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. Amount or Number of Shares
Non-qualified stock option	\$ 2.41	11/10/2005		A	2,033	11/10/2006 11/10/2015	Class A Common	2,033
Non-qualified stock option	\$ 2.41	11/10/2005		A	2,033	11/10/2007 11/10/2015	Class A Common	2,033
Non-qualified stock option	\$ 2.41	11/10/2005		A	2,034	11/10/2008 11/10/2015	Class A Common	2,034
Restricted stock unit	\$ 0 <sup>(1)</sup>	11/10/2005		A	3,333	11/10/2006 <sup>(3)</sup> 11/10/2015	Class A Common	3,333
Restricted stock unit	\$ 0 <sup>(1)</sup>	11/10/2005		A	3,333	11/10/2007 <sup>(3)</sup> 11/10/2015	Class A Common	3,333
Restricted stock unit	\$ 0 <sup>(1)</sup>	11/10/2005		A	3,334	11/10/2008 <sup>(3)</sup> 11/10/2015	Class A Common	3,334
Restricted stock unit <sup>(2)</sup>	\$ 0 <sup>(1)</sup>					10/20/2004 <sup>(3)</sup> 10/20/2014	Class A Common	6,000
Restricted stock unit	\$ 0 <sup>(1)</sup>					10/20/2005 <sup>(3)</sup> 10/20/2014	Class A Common	2,000
Restricted stock unit	\$ 0 <sup>(1)</sup>					10/20/2006 <sup>(3)</sup> 10/20/2014	Class A Common	2,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BRUECK STEVEN R J 2603 CHALLENGER TECH CT. SUITE 100 ORLANDO, FL 32826	X			

## Signatures

Dr. Steven R. J.  
Brueck

11/14/2005

\_\_Signature of Reporting  
Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units convert at a 1-to-1 ratio to Class A Common
- (2) Granted as vested in lieu of grant in prior year.
- (3) The reporting person shall be eligible to electively receive one share of Class A Common for each restricted stock unit at this date subject to continued employment

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.