Edgar Filing: CALIX, INC - Form 4

CALIX INC

Form 4 May 20, 2010	6										
								OMB APPROVAL			
-	CUNIVI 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							COMMISSION	OMB Number:	3235-0287	
Check thi if no long subject to Section 10 Form 4 or	r STATEMENT OF CHANGES IN BENEFICIAL						L OW	NERSHIP OF	Expires: January 20 Estimated average burden hours per response		
Form 4 orresponse0.5Form 5Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,0.5obligationssection 17(a) of the Public Utility Holding Company Act of 1935 or Section30(h) of the Investment Company Act of 19401(b).1(b).1(b).									0.0		
(Print or Type R	lesponses)										
Peters Kevin Robert Symbol				ier Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer			
				INC [(CA	ALX)]			(Check all applicable)			
			3. Date of Earliest Transaction (Month/Day/Year) 05/18/2016					XDirector Officer (give below)		Owner er (specify	
				endment, Date Original hth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
PETALUMA	A, CA 94954							Form filed by M Person	Iore than One Re	porting	
(City)	(State) (Zip)	Table	e I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	arity (Month/Day/Year) Execution Date, if		Date, if	3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A)			SecuritiesIBeneficially(OwnedI	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	05/18/2016			Code V A	Amount 17,964 (1)	or (D) A	Price \$ 0	(Instr. 3 and 4) 56,173 (2)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	ctionNumber of 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		(Month/Day/Year) vative urities uired or posed D)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	·	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director 10% Owne		Officer	Other				
Peters Kevin Robert C/O 1035 N. MCDOWELL BLVD. PETALUMA, CA 94954	Х							
Signatures								
/s/ William Atkins, Attorney-in-fact for Kevin R								
Peters		05/20/2016						
**Signature of Reporting Person		Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents an automatic annual grant of restricted stock units (RSU's) that will vest in full one day prior to the next annual shareholder meeting. Each restricted stock unit represents a contingent right to receive one share of Calix common stock.
- (2) Amount of securities beneficially owned includes 33,167 unvested restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.