

POOL CORP
Form 5
February 14, 2017

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
SEXTON WILSON B

2. Issuer Name and Ticker or Trading Symbol
POOL CORP [POOL]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2016

Director 10% Owner
 Officer (give title below) Other (specify below)

CHAIRMAN

109 NORTH PARK BLVD.

(Street)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

COVINGTON, LA 70433

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | (A) or (D) | Amount | Price | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|------------|--------|-----------------------|--|--|-----------------------------------|
| Common Stock | 05/26/2015 | Â | G | 2,900 | D | \$ 0 | 5,109 | D | Â | |
| Common Stock | 05/24/2016 | Â | G | 2,599 | D | \$ 0 | 4,445 | D | Â | |
| Common Stock | 10/10/2016 | Â | G | 10,000 | A | \$ 0 | 50,565 ⁽¹⁾ | I | by Spouse | |
| Common Stock | 05/26/2015 | Â | G | 2,900 | A | \$ 0 | 453,169 | I | by Trust | |

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| | | | | | | | | | |
|--------------|------------|---|---|--------|---|------|---------|---|----------|
| Common Stock | 01/25/2016 | Â | G | 30 | D | \$ 0 | 329,960 | I | by Trust |
| Common Stock | 02/25/2016 | Â | G | 30 | D | \$ 0 | 329,930 | I | by Trust |
| Common Stock | 03/24/2016 | Â | G | 30 | D | \$ 0 | 329,900 | I | by Trust |
| Common Stock | 04/22/2016 | Â | G | 25 | D | \$ 0 | 329,875 | I | by Trust |
| Common Stock | 05/24/2016 | Â | G | 2,599 | A | \$ 0 | 332,474 | I | by Trust |
| Common Stock | 05/25/2016 | Â | G | 25 | D | \$ 0 | 332,449 | I | by Trust |
| Common Stock | 06/24/2016 | Â | G | 25 | D | \$ 0 | 332,424 | I | by Trust |
| Common Stock | 07/25/2016 | Â | G | 25 | D | \$ 0 | 332,399 | I | by Trust |
| Common Stock | 08/25/2016 | Â | G | 25 | D | \$ 0 | 332,374 | I | by Trust |
| Common Stock | 09/27/2016 | Â | G | 25 | D | \$ 0 | 332,349 | I | by Trust |
| Common Stock | 10/10/2016 | Â | G | 10,000 | D | \$ 0 | 322,349 | I | by Trust |
| Common Stock | 10/26/2016 | Â | G | 25 | D | \$ 0 | 322,324 | I | by Trust |
| Common Stock | 11/23/2016 | Â | G | 25 | D | \$ 0 | 322,299 | I | by Trust |
| Common Stock | 12/20/2016 | Â | G | 1,910 | D | \$ 0 | 320,389 | I | by Trust |
| Common Stock | 12/23/2016 | Â | G | 25 | D | \$ 0 | 320,364 | I | by Trust |
| Common Stock | 12/30/2016 | Â | G | 133 | D | \$ 0 | 320,231 | I | by Trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities | 8. Price of Derivative Security (Instr. 5) | 9. of D S |
|--|------------------------------------|--------------------------------------|--|--------------------------------|-------------------------|--|--|--|-----------|
|--|------------------------------------|--------------------------------------|--|--------------------------------|-------------------------|--|--|--|-----------|

Derivative
Security

Securities
Acquired
(A) or
Disposed
of (D)
(Instr. 3,
4, and 5)

(Instr. 3 and 4)

(A) (D) Date Expiration Title Amount
Exercisable Date or
Number
of
Shares

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|------------|
| | Director | 10% Owner | Officer | Other |
| SEXTON WILSON B 109 NORTHPARK BLVD. COVINGTON, LA 70433 | X | A | A | CHAIRMAN A |

Signatures

By: Jennifer Neil For: Wilson B
Sexton 02/14/2017

Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reporting person acquired beneficial ownership of these shares upon his marriage to the owner of the shares on April 6, 2016.

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