

NOMURA HOLDINGS INC
Form 6-K
April 10, 2019
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FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of April 2019

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

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Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from March 1, 2019 to March 31, 2019.

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: April 10, 2019

By: /s/ Go Sugiyama
Go Sugiyama
Senior Managing Director

Table of Contents*[Translation of Share Buyback Report for the reporting month from March 1, 2019 to March 31, 2019**filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on April 10, 2019]***Class of Shares: Common Stock****1. Status of repurchase**

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders

Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)

as of March 31, 2019

	Number of Shares	Total Repurchase Amount (JPY)
Authorization pursuant to the resolution of the Board (April 26, 2018)		
(Period of repurchase: from May 16, 2018 to March 29, 2019 (excluding the ten business days following the announcement of each quarterly financial results))	100,000,000	70,000,000,000
Repurchases during this reporting month (Date of repurchase)	(Date)	
Total		
Aggregate shares repurchased as of the end of this reporting month	100,000,000	51,702,988,850
Progress of share repurchase (%)	100.0	73.9

2. Status of disposition

as of March 31, 2019

	Number of shares disposed in the reporting month	Aggregate amount of disposition (JPY)
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Treasury shares disposed subject to procedures for issuance of new shares	(Date)		
Subtotal			
Treasury shares canceled	(Date)		
Subtotal			
Treasury shares transferred upon merger, share exchange or demerger	(Date)		
Subtotal			
Other (upon request for purchasing less-than-a-full-unit shares)	(Date)		
	March 5	78	34,312
Subtotal		78	34,312
Other (exercise of stock acquisition rights)	(Date)		
	March 1	19,700	19,700
	March 4	5,000	1,490,000
	March 5	110,600	110,600
	March 6	42,900	933,900
	March 7	9,400	9,400
	March 8	58,300	58,300
	March 11	62,700	2,141,700
	March 12	57,400	57,400
	March 13	47,500	47,500
	March 14	142,400	142,400
	March 15	5,600	5,600
	March 18	10,700	10,700
	March 19	47,200	47,200
	March 20	178,800	178,800
	March 22	55,300	55,300
	March 25	154,500	154,500
	March 26	4,500	598,500

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Subtotal	1,012,500	6,061,500
Total	1,012,578	6,095,812

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3. Status of shares held in treasury

as of March 31, 2019

As of the end of the reporting month	Number of Shares
Total number of issued shares	3,493,562,601
Number of shares held in treasury	182,411,802