

MAXIM INTEGRATED PRODUCTS INC  
Form SD  
May 30, 2017

Exhibit 1.01

Maxim Integrated Products, Inc.

Conflict Mineral Report

For the Calendar Year Reporting Period Ended December 31, 2016

Company Overview:

Maxim Integrated Products, Inc. ("Maxim Integrated" or the "Company" and also referred to as "we," "our" or "us") designs, develops, manufactures and markets a broad range of linear and mixed-signal integrated circuits, commonly referred to as analog circuits, for a large number of geographically diverse customers. The Company also provides a range of high-frequency process technologies and capabilities that can be used in custom designs. The analog market is fragmented and characterized by many diverse applications, a great number of product variations and, with respect to many circuit types, relatively long product life cycles. The Company is a global company with wafer manufacturing facilities in the U.S., testing facilities in the Philippines and Thailand and sales and circuit design offices throughout the world. The major end-markets in which the Company's products are sold are the Automotive, Communications and Data Center, Computing, Consumer and Industrial markets.

Our Conflict Minerals Policy:

Maxim Integrated is committed to complying with the letter and the spirit of the conflict minerals disclosure requirements adopted by the Securities and Exchange Commission ("SEC") under Section 13(p) of the Securities and Exchange Act, as amended ("Exchange Act"), in the form of Rule 13p-1 and Form SD (together, the "Conflict Minerals Rule" or "Rule"). Maxim Integrated has considered the Rule's requirements, guidance from the Organisation for Economic Cooperation and Development (the "OECD") that has been recognized as authoritative by the SEC (the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas, Second Edition, and related Supplements for tin, tantalum, tungsten and gold ("OECD Due Diligence Guidance")), and related measures being taken by the EICC (Electronic Industry Citizenship Coalition)/GeSI (Global e-Sustainability Initiative) Extractives Work Group, which is part of the joint Conflict-Free Sourcing Initiative ("CFSI") formed by these organizations, as well as other private-sector organizations' conflict minerals compliance initiatives, and is implementing and enhancing its formal Conflict Minerals compliance program accordingly. Our Conflict Minerals Policy Statement is available on our website at: <http://investor.maximintegrated.com/corporate-responsibility>.

To support this policy, Maxim Integrated:

Conducts a reasonable country of origin inquiry of all of its direct suppliers that have products containing necessary conflict minerals and, if required by the Conflict Minerals Rule (as it was for the calendar year 2016 reporting period), implements a program of due diligence on the source and chain of custody of conflict minerals (tin, tantalum, tungsten and gold, or "3TG") that we consider necessary to the functionality or production of our products ("necessary conflict minerals").

Expects our direct suppliers to have policies and procedures in place which are in compliance with the Maxim Integrated Conflict Minerals Policy Statement, and will enable transparency of the supply chain to facilitate our compliance with the Conflict Minerals Rule.

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Provides, and expects our direct suppliers to cooperate in providing, reasonable country of origin and due diligence information with regard to use of “necessary conflict minerals” in our supply chain.

Efforts to determine the mine or location of origin of the conflict minerals in our products

Tracing the necessary 3TG we use in our products back to the mine and/or source country of origin of each such mineral is a complex endeavor, but an important aspect of responsible sourcing. To help establish and maintain our supply chain compliance sourcing program, we have followed currently established industry guidelines such as those developed

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by the CFSI under the auspices of the OECD Due Diligence Guidance, which is taking action to address responsible sourcing through the development of the Conflict-Free Smelter Program ("CFSP") program, which enables companies to source minerals from smelters and refiners that have been subjected to a rigorous, independent third-party audit.

Facilities used to process the conflict minerals in our products; brief description of our products

To implement our reasonable country of origin inquiry ("RCOI") survey process, we adopted the CFSI's industry approach and used that organization's CMRT (Conflict Minerals Reporting Template) to gather information from our first-tier suppliers, asking them to help us trace back the origin of necessary 3TG used in our products by identifying smelters, refineries or recyclers and scrap supplier sources of these minerals. We also utilized the CFSI and its CFSP to trace the mine of origin of the 3TG when such information was available. The CFSP conducts independent audits of smelters and refineries to ensure that all certified smelters and refineries are active in the CFSP.

Maxim Integrated procures IC and discrete components and raw materials that may contain conflict minerals from the following major types of suppliers:

Raw material supplier

Wafer Foundry

IC Assembly Subcontractor and Contract Manufacturers

Component Manufacturer

As discussed above, we manufacture a broad range of linear and mixed signal integrated circuits for a variety of uses (e.g., communications and computers), and have our own wafer fabrication facilities. We also use third-party wafer foundries.

The table below lists the facilities which, to the extent known, were identified by responding first-tier suppliers in our supply chain as sourcing smelters or refineries for one or more of the necessary conflict minerals in our products (we use gold, tin, tantalum and tungsten).

Metal	Standard Smelter/Refiner ("Smelter") Names	Smelter Location	Smelter ID	Status*
Gold	Aida Chemical Industries Co., Ltd.	JAPAN	CID000019	CFSP compliant
Gold	Allgemeine Gold-und Silberscheideanstalt A.G.	GERMANY	CID000035	CFSP compliant
Gold	Almalyk Mining and Metallurgical Complex (AMMC)	UZBEKISTAN	CID000041	CFSP compliant
Gold	AngloGold Ashanti Córrego do Sítio Mineração	BRAZIL	CID000058	CFSP compliant
Gold	Argor-Heraeus S.A.	SWITZERLAND	CID000077	CFSP compliant
Gold	Asahi Pretec Corp.	JAPAN	CID000082	CFSP compliant
Gold	Asahi Refining Canada Ltd.	CANADA	CID000924	CFSP compliant
Gold	Asahi Refining USA Inc.	UNITED STATES	CID000920	CFSP compliant
Gold	Asaka Riken Co., Ltd.	JAPAN	CID000090	CFSP compliant
Gold	Aurubis AG	GERMANY	CID000113	CFSP compliant
Gold	Bangko Sentral ng Pilipinas (Central Bank of the Philippines)	PHILIPPINES	CID000128	CFSP compliant
Gold	Boliden AB	SWEDEN	CID000157	CFSP compliant
Gold	C. Hafner GmbH + Co. KG	GERMANY	CID000176	CFSP compliant
Gold	CCR Refinery - Glencore Canada Corporation	CANADA	CID000185	CFSP compliant
Gold	Chimet S.p.A.	ITALY	CID000233	CFSP compliant
Gold	DODUCO GmbH	GERMANY	CID000362	CFSP compliant



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GoldDowa	JAPAN	CID000401	CFSP compliant
GoldDSC (Do Sung Corporation)	KOREA, REPUBLIC OF	CID000359	CFSP compliant
GoldEco-System Recycling Co., Ltd.	JAPAN	CID000425	CFSP compliant
GoldElemetal Refining, LLC	UNITED STATES	CID001322	Compliant in 2016
GoldHeimerle + Meule GmbH	GERMANY	CID000694	CFSP compliant
GoldHeraeus Ltd. Hong Kong	HONG KONG	CID000707	CFSP compliant
GoldHeraeus Precious Metals GmbH & Co. KG	GERMANY	CID000711	CFSP compliant
GoldInner Mongolia Qiankun Gold and Silver Refinery Share Co., Ltd.	CHINA	CID000801	CFSP compliant
GoldIshifuku Metal Industry Co., Ltd.	JAPAN	CID000807	CFSP compliant
GoldIstanbul Gold Refinery	TURKEY	CID000814	CFSP compliant
GoldJapan Mint	JAPAN	CID000823	CFSP compliant
GoldJiangxi Copper Co., Ltd.	CHINA	CID000855	CFSP compliant
GoldJSC Ekaterinburg Non-Ferrous Metal Processing Plant	RUSSIAN FEDERATION	CID000927	CFSP compliant
GoldJSC Uralelectromed	RUSSIAN FEDERATION	CID000929	CFSP compliant
GoldJX Nippon Mining & Metals Co., Ltd.	JAPAN	CID000937	CFSP compliant
GoldKazzinc	KAZAKHSTAN	CID000957	CFSP compliant
GoldKennecott Utah Copper LLC	UNITED STATES	CID000969	CFSP compliant
GoldKojima Chemicals Co., Ltd.	JAPAN	CID000981	CFSP compliant
GoldKyrgyzaltyn JSC	KYRGYZSTAN	CID001029	CFSP compliant
GoldLS-NIKKO Copper Inc.	KOREA, REPUBLIC OF	CID001078	CFSP compliant
GoldMaterion	UNITED STATES	CID001113	CFSP compliant
GoldMatsuda Sangyo Co., Ltd.	JAPAN	CID001119	CFSP compliant
GoldMetalor Technologies (Hong Kong) Ltd.	HONG KONG	CID001149	CFSP compliant
GoldMetalor Technologies (Singapore) Pte., Ltd.	SINGAPORE	CID001152	CFSP compliant
GoldMetalor Technologies (Suzhou) Ltd.	China	CID001147	

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GoldMetalor Technologies S.A.	SWITZERLAND	CID001153	CFSP compliant
GoldMetalor USA Refining Corporation	UNITED STATES	CID001157	CFSP compliant
GoldMetalúrgica Met-Mex Peñoles S.A. De C.V.	MEXICO	CID001161	CFSP compliant
GoldMitsubishi Materials Corporation	JAPAN	CID001188	CFSP compliant
GoldMitsui Mining and Smelting Co., Ltd.	JAPAN	CID001193	CFSP compliant
GoldMMTC-PAMP India Pvt., Ltd.	INDIA	CID002509	CFSP compliant
GoldMoscow Special Alloys Processing Plant	RUSSIAN FEDERATION	CID001204	CFSP compliant
GoldNadir Metal Rafineri San. Ve Tic. A. <sup>a</sup> .	TURKEY	CID001220	CFSP compliant
GoldNihon Material Co., Ltd.	JAPAN	CID001259	CFSP compliant
GoldÖgussa Österreichische Gold- und Silber-Scheideanstalt GmbH	AUSTRIA	CID002779	CFSP compliant
GoldOhura Precious Metal Industry Co., Ltd.	JAPAN	CID001325	CFSP compliant
GoldOJSC "The Gulidov Krasnoyarsk Non-Ferrous Metals Plant" (OJSC Krastsvetmet)	RUSSIAN FEDERATION	CID001326	CFSP compliant
GoldOJSC Novosibirsk Refinery	RUSSIAN FEDERATION	CID000493	CFSP compliant
GoldPAMP S.A.	SWITZERLAND	CID001352	CFSP compliant
GoldPrioksky Plant of Non-Ferrous Metals	RUSSIAN FEDERATION	CID001386	CFSP compliant
GoldPT Aneka Tambang (Persero) Tbk	INDONESIA	CID001397	CFSP compliant
GoldPX Précinox S.A.	SWITZERLAND	CID001498	CFSP compliant
GoldRand Refinery (Pty) Ltd.	SOUTH AFRICA	CID001512	CFSP compliant
GoldRepublic Metals Corporation	UNITED STATES	CID002510	CFSP compliant
GoldRoyal Canadian Mint	CANADA	CID001534	CFSP compliant
GoldSamduck Precious Metals	KOREA, REPUBLIC OF	CID001555	CFSP compliant
GoldSchone Edelmetaal B.V.	NETHERLANDS	CID001573	CFSP compliant

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Gold	SEMPSA Joyería Platería S.A.	SPAIN	CID001585	CFSP compliant
Gold	Shandong Zhaojin Gold & Silver Refinery Co., Ltd.	CHINA	CID001622	CFSP compliant
Gold	Sichuan Tianze Precious Metals Co., Ltd.	CHINA	CID001736	CFSP compliant
Gold	Singway Technology Co., Ltd.	TAIWAN	CID002516	CFSP compliant
Gold	SOE Shyolkovsky Factory of Secondary Precious Metals	RUSSIAN FEDERATION	CID001756	CFSP compliant
Gold	Solar Applied Materials Technology Corp.	TAIWAN	CID001761	CFSP compliant
Gold	Sumitomo Metal Mining Co., Ltd.	JAPAN	CID001798	CFSP compliant
Gold	T.C.A S.p.A	ITALY	CID002580	CFSP compliant
Gold	Tanaka Kikinzoku Kogyo K.K.	JAPAN	CID001875	CFSP compliant
Gold	The Refinery of Shandong Gold Mining Co., Ltd.	CHINA	CID001916	CFSP compliant
Gold	Tokuriki Honten Co., Ltd.	JAPAN	CID001938	CFSP compliant
Gold	Torecom	KOREA, REPUBLIC OF	CID001955	CFSP compliant
Gold	Umicore Brasil Ltda.	BRAZIL	CID001977	CFSP compliant
Gold	Umicore Precious Metals Thailand	THAILAND	CID002314	CFSP compliant
Gold	Umicore S.A. Business Unit Precious Metals Refining	BELGIUM	CID001980	CFSP compliant
Gold	United Precious Metal Refining, Inc.	UNITED STATES	CID001993	CFSP compliant
Gold	Valcambi S.A.	SWITZERLAND	CID002003	CFSP compliant
Gold	Western Australian Mint trading as The Perth Mint	AUSTRALIA	CID002030	CFSP compliant
Gold	Yamamoto Precious Metal Co., Ltd.	JAPAN	CID002100	CFSP compliant
Gold	Yokohama Metal Co., Ltd.	JAPAN	CID002129	CFSP compliant
Gold	Zhongyuan Gold Smelter of Zhongjin Gold Corporation	CHINA	CID002224	CFSP compliant
Gold	Zijin Mining Group Co., Ltd. Gold Refinery	CHINA	CID002243	CFSP compliant
Tantalum	Changsha South Tantalum Niobium Co., Ltd.	CHINA	CID000211	CFSP compliant
Tantalum	Conghua Tantalum and Niobium Smeltry	CHINA	CID000291	CFSP compliant
Tantalum	D Block Metals, LLC	UNITED STATES	CID002504	

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			CFSP compliant
Tantalum Duoluoshan	CHINA	CID000410	CFSP compliant
Tantalum Exotech Inc.	UNITED STATES	CID000456	CFSP compliant
Tantalum F&X Electro-Materials Ltd.	CHINA	CID000460	CFSP compliant
Tantalum FIR Metals & Resource Ltd.	CHINA	CID002505	CFSP compliant
Tantalum Global Advanced Metals Aizu	JAPAN	CID002558	CFSP compliant
Tantalum Global Advanced Metals Boyertown	UNITED STATES	CID002557	CFSP compliant
Tantalum Guangdong Zhiyuan New Material Co., Ltd.	CHINA	CID000616	CFSP compliant
Tantalum H.C. Starck Co., Ltd.	THAILAND	CID002544	CFSP compliant
Tantalum H.C. Starck GmbH Goslar	GERMANY	CID002545	CFSP compliant
Tantalum H.C. Starck Hermsdorf GmbH	GERMANY	CID002547	CFSP compliant
Tantalum H.C. Starck Inc.	UNITED STATES	CID002548	CFSP compliant
Tantalum H.C. Starck Ltd.	JAPAN	CID002549	CFSP compliant
Tantalum H.C. Starck Smelting GmbH & Co.KG	GERMANY	CID002550	CFSP compliant
Tantalum Hengyang King Xing Lifeng New Materials Co., Ltd.	CHINA	CID002492	CFSP compliant
Tantalum Hi-Temp Specialty Metals, Inc.	UNITED STATES	CID000731	CFSP compliant
Tantalum Jiujiang JinXin Nonferrous Metals Co., Ltd.	CHINA	CID000914	CFSP compliant
Tantalum Jiujiang Tanbre Co., Ltd.	CHINA	CID000917	CFSP compliant
Tantalum Kemet Blue Metals	MEXICO	CID002539	CFSP compliant
Tantalum Kemet Blue Powder	UNITED STATES	CID002568	CFSP compliant
Tantalum LSM Brasil S.A.	BRAZIL	CID001076	CFSP compliant
Tantalum Metallurgical Products India Pvt., Ltd.	INDIA	CID001163	CFSP compliant
Tantalum Mineração Taboca S.A. - Ta	BRAZIL	CID001175	CFSP compliant
Tantalum Mitsui Mining & Smelting	JAPAN	CID001192	CFSP compliant



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TantalumNingxia Orient Tantalum Industry Co., Ltd.	CHINA	CID001277CFSP compliant
TantalumNPM Silmet AS	ESTONIA	CID001200CFSP compliant
TantalumSolikamsk Magnesium Works OAO	RUSSIAN FEDERATION	CID001769CFSP compliant
TantalumTaki Chemical Co., Ltd.	JAPAN	CID001869CFSP compliant
TantalumTelex Metals	UNITED STATES	CID001891CFSP compliant
TantalumUlba Metallurgical Plant JSC	KAZAKHSTAN	CID001969CFSP compliant
TantalumYichun Jin Yang Rare Metal Co., Ltd.	CHINA	CID002307CFSP compliant
TantalumZhuzhou Cemented Carbide Group Co., Ltd.	CHINA	CID002232CFSP compliant
Tin Alpha	UNITED STATES	CID000292CFSP compliant
Tin Chenzhou Yunxiang Mining and Metallurgy Co., Ltd.	CHINA	CID000228CFSP compliant
Tin China Tin Group Co., Ltd.	CHINA	CID001070CFSP compliant
Tin Cooperativa Metalurgica de Rondônia Ltda.	BRAZIL	CID000295CFSP compliant
Tin CV Ayi Jaya	INDONESIA	CID002570CFSP compliant
Tin CV Gita Pesona	INDONESIA	CID000306CFSP compliant
Tin CV Serumpun Sebalai	INDONESIA	CID000313CFSP compliant
Tin CV Tiga Sekawan	INDONESIA	CID002593CFSP compliant
Tin CV United Smelting	INDONESIA	CID000315CFSP compliant
Tin CV Venus Inti Perkasa	INDONESIA	CID002455CFSP compliant
Tin Dowa	JAPAN	CID000402CFSP compliant
Tin Elmet S.L.U.	SPAIN	CID002774CFSP compliant
Tin EM Vinto	BOLIVIA	CID000438CFSP compliant
Tin Fenix Metals	POLAND	CID000468CFSP compliant
Tin Gejiu Jinye Mineral Company	CHINA	CID002859CFSP compliant
Tin Gejiu Non-Ferrous Metal Processing Co., Ltd.	CHINA	CID000538CFSP compliant
Tin Jiangxi Ketai Advanced Material Co., Ltd.	CHINA	CID000244CFSP compliant
Tin Magnu's Minerais Metais e Ligas Ltda.	BRAZIL	CID002468CFSP compliant
Tin Malaysia Smelting Corporation (MSC)	MALAYSIA	CID001105CFSP compliant
Tin Melt Metais e Ligas S.A.	BRAZIL	CID002500CFSP compliant
Tin Metallic Resources, Inc.	UNITED STATES	CID001142CFSP compliant
Tin Metallo-Chimique N.V.	BELGIUM	CID002773CFSP compliant
Tin Mineração Taboca S.A.	BRAZIL	CID001173CFSP compliant
Tin Minsur	PERU	CID001182CFSP compliant
Tin Mitsubishi Materials Corporation	JAPAN	CID001191CFSP compliant
Tin O.M. Manufacturing (Thailand) Co., Ltd.	THAILAND	CID001314CFSP compliant
Tin O.M. Manufacturing Philippines, Inc.	PHILIPPINES	CID002517CFSP compliant
Tin Operaciones Metalurgical S.A.	BOLIVIA	CID001337CFSP compliant
Tin PT Aries Kencana Sejahtera	INDONESIA	CID000309CFSP compliant
Tin PT Artha Cipta Langgeng	INDONESIA	CID001399CFSP compliant
Tin PT ATD Makmur Mandiri Jaya	INDONESIA	CID002503CFSP compliant
Tin PT Babel Inti Perkasa	INDONESIA	CID001402CFSP compliant
Tin PT Bangka Prima Tin	INDONESIA	CID002776CFSP compliant
Tin PT Bangka Tin Industry	INDONESIA	CID001419CFSP compliant
Tin PT Belitung Industri Sejahtera	INDONESIA	CID001421CFSP compliant
Tin PT Bukit Timah	INDONESIA	CID001428CFSP compliant
Tin PT DS Jaya Abadi	INDONESIA	CID001434CFSP compliant
Tin PT Eunindo Usaha Mandiri	INDONESIA	CID001438CFSP compliant
Tin PT Inti Stania Prima	INDONESIA	CID002530CFSP compliant

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Tin	PT Menara Cipta Mulia	INDONESIA	CID002835 CFSP compliant
Tin	PT Mitra Stania Prima	INDONESIA	CID001453 CFSP compliant
Tin	PT Panca Mega Persada	INDONESIA	CID001457 CFSP compliant

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Tin	PT Prima Timah Utama	INDONESIA	CID001458	CFSP compliant
Tin	PT REFINED BANGKA TIN	INDONESIA	CID001460	CFSP compliant
Tin	PT Sariwiguna Binasentosa	INDONESIA	CID001463	CFSP compliant
Tin	PT Stanindo Inti Perkasa	INDONESIA	CID001468	CFSP compliant
Tin	PT Sukses Inti Makmur	INDONESIA	CID002816	CFSP compliant
Tin	PT Sumber Jaya Indah	INDONESIA	CID001471	CFSP compliant
Tin	PT Timah (Persero) Tbk Kundur	INDONESIA	CID001477	CFSP compliant
Tin	PT Timah (Persero) Tbk Mentok	INDONESIA	CID001482	CFSP compliant
Tin	PT Tinindo Inter Nusa	INDONESIA	CID001490	CFSP compliant
Tin	PT Tommy Utama	INDONESIA	CID001493	CFSP compliant
Tin	Resind Indústria e Comércio Ltda.	BRAZIL	CID002706	CFSP compliant
Tin	Rui Da Hung	TAIWAN	CID001539	CFSP compliant
Tin	Soft Metais Ltda.	BRAZIL	CID001758	CFSP compliant
Tin	Thaisarco	THAILAND	CID001898	CFSP compliant
Tin	VQB Mineral and Trading Group JSC	VIET NAM	CID002015	CFSP compliant
Tin	White Solder Metalurgia e Mineração Ltda.	BRAZIL	CID002036	CFSP compliant
Tin	Yunnan Chengfeng Non-ferrous Metals Co., Ltd.	CHINA	CID002158	CFSP ACTIVE
Tin	Yunnan Tin Company Limited	CHINA	CID002180	CFSP compliant
Tungsten	A.L.M.T. TUNGSTEN Corp.	JAPAN	CID000004	CFSP compliant
Tungsten	Chenzhou Diamond Tungsten Products Co., Ltd.	CHINA	CID002513	CFSP compliant
Tungsten	Chongyi Zhangyuan Tungsten Co., Ltd.	CHINA	CID000258	CFSP compliant
Tungsten	Fujian Jinxin Tungsten Co., Ltd.	CHINA	CID000499	CFSP compliant
Tungsten	Ganzhou Huaxing Tungsten Products Co., Ltd.	CHINA	CID000875	CFSP compliant
Tungsten	Ganzhou Jiangwu Ferrotungsten Co., Ltd.	CHINA	CID002315	CFSP compliant
Tungsten	Ganzhou Seadragon W & Mo Co., Ltd.	CID002494	CID002494	CFSP compliant

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TungstenGlobal Tungsten & Powders Corp.	UNITED STATES	CID000568	CFSP compliant
TungstenGuangdong Xianglu Tungsten Co., Ltd.	CHINA	CID000218	CFSP compliant
TungstenH.C. Starck GmbH	GERMANY	CID002541	CFSP compliant
TungstenH.C. Starck Smelting GmbH & Co.KG	GERMANY	CID002542	CFSP compliant
TungstenHunan Chenzhou Mining Co., Ltd.	CHINA	CID000766	CFSP compliant
TungstenHunan Chuangda Vanadium Tungsten Co., Ltd. Wuji	CHINA	CID002579	CFSP compliant
TungstenHunan Chunchang Nonferrous Metals Co., Ltd.	CHINA	CID000769	CFSP compliant
TungstenHydrometallurg, JSC	RUSSIAN FEDERATION	CID002649	CFSP compliant
TungstenJapan New Metals Co., Ltd.	JAPAN	CID000825	CFSP compliant
TungstenJiangwu H.C. Starck Tungsten Products Co., Ltd.	CHINA	CID002551	CFSP compliant
TungstenJiangxi Gan Bei Tungsten Co., Ltd.	CHINA	CID002321	CFSP compliant
TungstenJiangxi Tonggu Non-ferrous Metallurgical & Chemical Co., Ltd.	CHINA	CID002318	CFSP compliant
TungstenJiangxi Xinsheng Tungsten Industry Co., Ltd.	CHINA	CID002317	CFSP compliant
TungstenJiangxi Yaosheng Tungsten Co., Ltd.	CHINA	CID002316	CFSP compliant
TungstenKennametal Fallon	UNITED STATES	CID000966	CFSP compliant
TungstenKennametal Huntsville	UNITED STATES	CID000105	CFSP compliant
TungstenMalipo Haiyu Tungsten Co., Ltd.	CHINA	CID002319	CFSP compliant
TungstenNiagara Refining LLC	UNITED STATES	CID002589	CFSP compliant
TungstenNui Phao H.C. Starck Tungsten Chemicals Manufacturing LLC	VIET NAM	CID002543	CFSP compliant
TungstenTejing (Vietnam) Tungsten Co., Ltd.	VIETNAM	CID001889	CFSP compliant
TungstenVietnam Youngsun Tungsten Industry Co., Ltd.	VIET NAM	CID002011	CFSP compliant
TungstenWolfram Bergbau und Hütten AG	AUSTRIA	CID002044	CFSP compliant
TungstenXiamen Tungsten (H.C.) Co., Ltd.	CHINA	CID002320	CFSP compliant

Tungsten Xiamen Tungsten Co., Ltd.

CHINACID002082 CFSP compliant

Tungsten Xinhai Rendan Shaoguan Tungsten Co., Ltd. CHINACID002095 CFSP compliant

\* As of April 30, 2017. "CFSP Compliant" means that the Smelter's name was included on the Conflict Free Smelter and Refiner List published on the CFSI website. "CFSP Active" means that the Smelter's name was included on the Active Smelters and Refiners List published on the CFSI website. "2016 Compliant" means the Smelter was CFSP Compliant in 2016, but has withdrawn from CSFI in 2017.

Countries of origin for mines of the conflict minerals these facilities process are believed to include:

Australia, Austria, Benin, Bolivia (Plurinational State of), Brazil, Burkina Faso, Burundi Cambodia, Canada, Chile, China, Colombia, Democratic Republic of Congo, Ecuador, Eritrea, Ethiopia, France, Ghana, Guatemala, Guinea, Guyana, Honduras, India, Indonesia, Japan, Laos, Madagascar, Malaysia, Mali, Mexico, Mongolia, Mozambique, Myanmar, Namibia, Nicaragua, Nigeria, Panama, Peru, Portugal, Russia, Rwanda, Senegal, Sierra Leone, South Africa, Spain, Thailand, Togo, Uganda, United States of America, Uzbekistan, Vietnam, Zimbabwe.

Exercise due diligence on the source and chain of custody of its conflict minerals following recognized framework:

#### Due Diligence Framework

We have exercised due diligence on the source and chain of custody of our conflict minerals to identify minerals originating from the Covered Countries (DRC or an adjoining country) that are not from scrap or recycled materials. Maxim Integrated's due diligence process has been designed and implemented based on the framework set forth in the OECD Due Diligence Guidance.

Our conflict minerals due diligence process in calendar year 2016 followed each of the five OECD-recommended steps:

1. Establishment of Strong Company Management Systems
2. Identify and assess risks in the supply chain
3. Design and implement a strategy to respond to identified risks
4. Encourage the independent third-party audit of smelter/refiner's due diligence practices
5. Report annually on supply chain due diligence

#### Inherent Limitations on Due Diligence Measures

As a downstream purchaser of conflict minerals or products (including components) manufactured by third parties that contain conflict minerals, our due diligence measures can provide only reasonable, not absolute, assurance regarding the source and chain of custody of the necessary conflict minerals contained in the products we sell to our customers. Our due diligence processes are based on the necessity of seeking data from our direct suppliers, and on those suppliers in turn seeking similar information within their supply chains to identify the original sources of the necessary conflict minerals. We also rely, to a large extent, on information collected and provided by independent third party audit programs such as the CFSP. This process, in our view, is entirely consistent with the OECD Due Diligence Guidance for downstream companies. Maxim Integrated is an active member of CFSI which oversees the

CFSP audit program.

Brief description of due diligence measures taken

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### 1.0 Strong Company Management Systems:

We have established a management system for complying with the applicable rules. Our management system includes the development of a Conflict Minerals Oversight Committee led by our Senior Vice President and General Counsel, Senior Vice President, Quality and Vice President, Deputy General Counsel. Maxim Integrated has also developed a team of subject matter experts from relevant functions with specific responsibilities. The Quality Director is responsible for directing the project operations and reporting to upper management. The Quality Director is also responsible for implementation of the supply chain due diligence process. Data collection and reporting are handled by the Environmental Materials Management and Information (EMMI) group within the Quality organization. The Environmental Materials Manager is responsible for day-to-day data collection, customer responses, supply chain and smelter communication, data/information validation, and archival documentation.

The team of subject matter experts is responsible for implementing our conflict mineral compliance policy, procedures and strategy. The Conflict Minerals Oversight Committee has been briefed about the results of our due diligence efforts on a periodic basis and the Director of Quality is briefed regularly during staff meetings.

### 2.0 Identification and Assessment of Risks in the Supply Chain:

We have made our reasonable efforts to identify first-tier suppliers that supply products or raw materials that may potentially contain necessary conflict minerals by conducting a supply chain survey using the EICC CFSI Conflict Minerals Reporting Template (CMRT), requesting all of our direct suppliers to identify smelters and refiners and country of origin of the conflict minerals in products and/or raw materials they supply to us; following up with direct suppliers that do not respond to the EICC CFSI Conflict Minerals Report Template by requesting their responses; comparing smelters and refiners identified by respondents to our supply chain survey against the list of facilities that have received a "Compliant" or "Active" designation from the CFSP, which designations provide country of origin information; and maintaining documentation of our efforts pursuant to this process. We also review the responses to identify red flags in the responses for further follow-up and also identify key risks to our supply chain; this information also is documented.

### 3.0 Strategic Response to Identified Risks:

We have and will continue to make reasonable efforts to encourage suppliers who are sourcing from non-compliant smelters and/or refineries to move towards using compliant smelters and refiners (as identified via the CFSP and other industry-developed third-party audit mechanisms). If a supplier fails to remedy the risks identified by our risk assessment, we intend to escalate it to Conflict Minerals Oversight Committee to determine whether to approve or reject the supplier based on the following factors: a thorough cost and benefit analysis; potential risk factors; any existing competitive bids; and whether the supplier is a single source supplier. If the Conflict Minerals Oversight Committee decides to continue such a business relationship due to inherent limitations of the supply chain, we will use reasonable efforts to follow up with said supplier for its correction plan, and encourage our suppliers to work with CFSP compliant smelters and refiners. We provide and plan to continue to provide periodic compliance updates or reports to our Conflict Minerals Oversight Committee summarizing our risk mitigation efforts.

### 4.0 Independent Third Party Audit of Smelter/Refiner's Due Diligence Practices:

We do not have a direct relationship with 3TG smelters and refiners; hence, we do not and are not able to perform direct audits of these entities that provide the 3TG metals to our supply chain. However, we do rely upon industry efforts, including the EICC CFSI, to influence smelters and refiners to get audited and certified through CFSI's CFSP. We rely upon the summary audit reports on compliance status for smelters generated by the CFSP to validate the responses received from suppliers surveyed pursuant to our RCOI process and address red flags identified in Step 2

above. We believe our efforts adequately address this requirement in the OECD Due Diligence Guidance.

5.0 Annual Report on Supply Chain Due Diligence:

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In addition to this Conflict Minerals Report and accompanying Form SD, for further information about our Conflict Minerals Policy Statement, please see: <http://investor.maximintegrated.com/corporate-responsibility>.

#### Results of due diligence measures taken

We are committed to continuing and improving upon the use of our supply chain due diligence processes, leveraging the industry standard CFSP and other resources made available by the CFSI, as well as the LBMA and other respected industry groups, to assist companies in following the OECD Due Diligence Guidance, and the ongoing update of our supplier RCOI information as we continue to develop additional transparency into our supply chain.

#### CONFLICT MINERALS REPORT

The Conflict Minerals Report for the calendar year ended December 31, 2016 is filed with the SEC as Exhibit 1.01 to Form SD, and is available at <http://investor.maximintegrated.com/financial-information>. The content of this website, and any other website referred to in this Conflict Minerals Report and/or the accompanying Form SD, is not incorporated by reference into either of these documents, or any other document Maxim Integrated has filed or will file with the SEC.