

TAWES ORRIE LEE  
Form 4  
September 08, 2017

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
TAWES ORRIE LEE

2. Issuer Name and Ticker or Trading Symbol  
HOUSTON AMERICAN ENERGY CORP [HUSA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
801 TRAVIS STREET, SUITE 1425  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
09/06/2017

Director  10% Owner  
 Officer (give title below)  Other (specify below)

HOUSTON, TX 77002  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D) Code V Amount (D) Price			
Common Stock					2,832,831	D	
Common Stock					119,034	I	By spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title
Stock Option (Right to Buy)	\$ 7.2					12/02/2008 06/02/2018	Common Stock
Stock Option (Right to Buy)	\$ 2.05					12/09/2009 06/09/2019	Common Stock
Stock Option (Right to Buy)	\$ 14.08					12/15/2010 06/15/2020	Common Stock
Stock Option (Right to Buy)	\$ 16.07					12/13/2011 06/13/2021	Common Stock
Stock Option (Right to Buy)	\$ 1.65					12/11/2012 06/11/2022	Common Stock
Stock Option (Right to Buy)	\$ 0.3075					12/11/2013 06/11/2023	Common Stock
Stock Option (Right to Buy)	\$ 0.415					12/10/2014 06/10/2024	Common Stock
Stock Option (Right to Buy)	\$ 0.2028					12/09/2015 06/09/2025	Common Stock
Stock Option	\$ 0.1982					09/15/2016 03/15/2026	Common Stock

(Right to Buy)									
Stock Option (Right to Buy)	\$ 0.2201					12/07/2016	06/07/2026		Common Stock
Stock Option (Right to Buy)	\$ 0.2201					06/07/2017	06/07/2026		Common Stock
Warrant	\$ 0.5					06/23/2017	06/23/2018		Common Stock
12% Series A Convertible Preferred Stock	\$ 0.2					01/31/2017	12/31/2050 <sup>(1)</sup>		Common Stock
Stock Option (Right to Buy)	\$ 0.485	09/06/2017		A	50,000	03/06/2018 <sup>(2)</sup>	09/06/2027		Common Stock

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TAWES ORRIE LEE 801 TRAVIS STREET, SUITE 1425 HOUSTON, TX 77002		X		

## Signatures

O. Lee Tawes,  
III                                      09/08/2017

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Convertible preferred stock has no expiration date.

(2) The options were granted on September 6, 2017 and are exercisable six months from the date of grant, subject to vesting. The options vest 20% on the date of grant and 80% nine months from the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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