

BROWN FORMAN CORP  
Form 4  
August 01, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Farrer Marshall

2. Issuer Name and Ticker or Trading Symbol  
BROWN FORMAN CORP [BFA, BFB]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)  
850 DIXIE HIGHWAY  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
07/28/2016

Director  10% Owner  
 Officer (give title below)  Other (specify below)

LOUISVILLE, KY 40210  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
				Code	V	Amount	
Class A Common					315	D	
Class B Common					116	D	
Class A Common					2,379	I	Albrecht Trust
Class A Common					1,758	I	Garvin Brown II Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Stock Appreciation Right <sup>(1)</sup>	\$ 98.01	07/28/2016		A	1,292	05/01/2019 04/30/2026	Class B Common 1,292
Restricted Stock Units	<sup>(2)</sup>					05/01/2017 <sup>(2)</sup>	Class B Common 296
Restricted Stock Units	<sup>(3)</sup>					05/01/2018 <sup>(3)</sup>	Class B Common 381
Stock Appreciation Right	\$ 33.76					07/26/2007 04/30/2017	Class B Common 2,110
Stock Appreciation Right	\$ 35.51					07/24/2008 04/30/2018	Class B Common 2,420
Stock Appreciation Right	\$ 27.05					07/23/2009 04/30/2019	Class B Common 2,370
Stock Appreciation Right	\$ 38.43					07/22/2010 04/30/2020	Class B Common 3,540
Stock Appreciation Right	\$ 46.4					07/28/2011 04/30/2021	Class B Common 1,530
Stock Appreciation Right	\$ 58.7					07/26/2012 04/30/2022	Class B Common 2,320
	\$ 102.25					07/23/2015 04/30/2025	1,890

