Edgar Filing: GELFOND RICHARD L - Form 4

GELFOND Form 4	RICHARD	L										
May 03, 201	18											
FORM	14 INT	тер стат	FS SECUL	DITIES A	ND FY(чцал	ICE (COMMISSION		PPROVAL		
	UNI	ILDSIAI			D.C. 205		IGE (201011011551011	OMB Number:	3235-0287		
Check this box if no longer subject to Section 16.		TEMENT	OF CHAN	IGES IN SECUR	NERSHIP OF	Expires: Estimated a burden hou	•					
Form 4 e Form 5 obligatio may con <i>See</i> Instr 1(b).	File ons Sectio	on 17(a) of t		tility Hole	ding Com	pany	Act of	e Act of 1934, f 1935 or Section 40	response			
(Print or Type	Responses)											
1. Name and Address of Reporting Person <u></u> GELFOND RICHARD L			Symbol	2. Issuer Name and Ticker or Trading Symbol IMAX CORP [IMAX]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)		f Earliest Ti	-		(Chec	k all applicable)				
902 BROA	DWAY, 201	(Month/E 05/01/2	-				Director 10% Owner Officer (give title Other (specify below) Chief Executive Officer					
NEW YOR	(Street) K 10010-60	02		endment, Da nth/Day/Year	-			6. Individual or Jo Applicable Line) _X_ Form filed by 0 Form filed by M Person	One Reporting Pe	rson		
(City)	(State)	(Zip)	Tabl	le I - Non-I	Derivative S	Securit	ies Aco	uired, Disposed of	or Beneficial	lv Owned		
(Instr. 3) any				n Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) Day/Year) (Instr. 8) (A)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of		
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
common shares (opening balance)								197,379	D			
common shares								7,550	I	by "Pamela Gelfond Trust"		
common shares								7,550	I	by "Claudia Gelfond Trust"		

Edgar Filing: GELFOND RICHARD L - Form 4

common shares	05/01/2018	М	21,761 (1)	А	\$0	219,140	D
common shares	05/01/2018	F	11,637 (2)	D	\$ 21.6	207,503	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V		(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
restricted share units (3)	\$ 0 <u>(4)</u>	05/01/2018		М		21,761 (1)	05/01/2018	01/31/2020	common shares	21,761

Reporting Owners

Reporting Owner Name / Address	Relationships							
Reporting o wher runne / runness	Director	10% Owner	Officer	Other				
GELFOND RICHARD L 902 BROADWAY 20TH FLOOR NEW YORK 10010-6002			Chief Executive Officer					

Signatures

Richard L. Gelfond 05/02/2018

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Edgar Filing: GELFOND RICHARD L - Form 4

- (1) Represents the conversion upon vesting of restricted share units into common shares.
- (2) Mr. Gelfond is reporting the withholding by IMAX Corporation of 11,637 common shares to satisfy the tax withholding obligations in connection with the delivery of common shares upon conversion of the restricted share unit transaction.
- (3) Each restricted share unit represents a contingent right to receive one common share of IMAX Corporation.
- (4) Each restricted share unit is the economic equivalent of one common share of IMAX Corporation.
- (5) This represents the number for transactions reported on this Form 4 only. Mr. Gelfond's aggregate remaining outstanding option, restricted share unit and common share balances following these transaction will be 2,873,364; 173,547 and 207,503 respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.