

Gervais Laurie L
 Form 4
 January 02, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 Gervais Laurie L

2. Issuer Name and Ticker or Trading Symbol
 SI Financial Group, Inc. [SIFI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
 803 MAIN STREET
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)
 12/28/2018

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
 EVP and COO

WILLIMANTIC, CT 06226

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount (A) or (D) Price | | |
| Common Stock | 12/28/2018 | | M | | 4,490 A \$ 5.68 | 32,498 | D |
| Common Stock | 12/28/2018 | | F | | 2,738 D \$ 12.79 | 29,760 | D |
| Common Stock | 12/28/2018 | | M | | 5,000 A \$ 9.4 | 34,760 | D |
| Common Stock | 12/28/2018 | | F | | 4,069 D \$ 12.79 | 30,691 | D |
| Common Stock | 12/28/2018 | | M | | 30,000 A \$ 11.01 | 60,691 | D |

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| | | | | | | | | |
|--------------|------------|---|--------|---|----------|-----------------------|---|--------------------------|
| Common Stock | 12/28/2018 | F | 27,070 | D | \$ 12.79 | 33,621 | D | |
| Common Stock | | | | | | 22,194 ⁽¹⁾ | I | By 401(k) |
| Common Stock | | | | | | 449 | I | By Custodian for Child 1 |
| Common Stock | | | | | | 449 | I | By Custodian for Child 2 |
| Common Stock | | | | | | 7,863 ⁽¹⁾ | I | By ESOP |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Shares |
| Stock Options | \$ 5.68 | 12/28/2018 | | M | 4,490 | 02/24/2011 02/24/2020 | Common Stock 4,490 ⁽²⁾ |
| Stock Options | \$ 9.4 | 12/28/2018 | | M | 5,000 | 02/16/2012 02/16/2021 | Common Stock 5,000 ⁽²⁾ |
| Stock Options | \$ 11.01 | 12/28/2018 | | M | 30,000 | 10/24/2013 10/24/2022 | Common Stock 30,000 ⁽²⁾ |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--------------------------------|---------------|-----------|-------------|-------|
| | Director | 10% Owner | Officer | Other |
| Gervais Laurie L | | | EVP and COO | |

803 MAIN STREET
WILLIMANTIC, CT 06226

Signatures

/s/ Lauren L. Murphy, pursuant to Power of
Attorney

12/31/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Act of 1934, as amended.
- (2) Stock Options are fully vested and exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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