

KONINKLIJKE PHILIPS NV
Form 4
March 15, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
KONINKLIJKE PHILIPS NV

2. Issuer Name **and** Ticker or Trading
Symbol
Corindus Vascular Robotics, Inc.
[CVRS]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
PHILIPS CENTER,
AMSTELPLEIN 2, 1096 BC

3. Date of Earliest Transaction
(Month/Day/Year)
06/22/2017

☒ Director ☒ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

(Street)
AMSTERDAM, P7

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price			
Common Stock, par value \$0.0001 per share	12/15/2017		A		9,174 (1)	A \$ 0	26,368,032 (1)	I	See footnote (2)
Common Stock, par value \$0.0001 per share	05/31/2018		A		53,326 (3)	A \$ 0.7501	26,421,358 (4)	I	See footnote (2)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	Amount or Number of Shares
Non-qualified stock option (right to buy)	\$ 1.68	06/22/2017		A	17,857	<u>(5)</u> 06/21/2027	Common Stock	17,857

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KONINKLIJKE PHILIPS NV PHILIPS CENTER, AMSTELPLEIN 2, 1096 BC AMSTERDAM, P7	X	X		

Signatures

/s/ Abhijit Bhattacharya, Chief Financial Officer	03/15/2019
<u> </u> **Signature of Reporting Person	Date
/s/ Marnix van Ginneken, Chief Legal Officer	03/15/2019
<u> </u> **Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The common stock represents shares issuable upon vesting of restricted stock units ("RSUs") granted to Nathan Harrington in his capacity as a director of Corindus Vascular Robotics, Inc. (the "Issuer") as part of his 2017 annual equity award. The RSUs were initially vested with respect to one quarter of the granted units. The RSUs provide for vesting in quarterly installments on December 22, 2017, March 22, 2018 and June 22, 2018, subject to Mr. Harrington's continuous service with the Issuer.
- (2)

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These securities are held directly by Nathan Harrington, an employee of the reporting person, as compensation for his service as a director of the Issuer. Pursuant to Mr. Harrington's arrangement with the reporting person as his employer, any compensation received by Mr. Harrington during his service as a director of the Issuer is for the benefit of the reporting person and, as such, Mr. Harrington intends to transfer his securities to the reporting person for no consideration.

- (3) The common stock represents shares issuable upon vesting of RSUs granted to Mr. Harrington in his capacity as a director of the Issuer as part of his 2018 annual equity award. The RSUs provide for vesting in quarterly installments on August 31, 2018, November 30, 2018, February 28, 2019 and May 31, 2019, subject to Mr. Harrington's continuous service with the Issuer through each such vesting date.
- (4) Includes 9,174 shares of common stock related to RSUs granted as part of Mr. Harrington's 2017 annual equity award which provided for initial vesting of one-quarter of the granted units and subsequent quarterly vesting on December 22, 2017, March 22, 2018 and June 22, 2018, subject to Mr. Harrington's continuous service with Issuer through each such vesting date
- (5) The underlying shares vest over a period of three years with 33.33% vesting on the first anniversary of the issuance date and 8.334% vesting at the end of each calendar quarter thereafter.

Remarks:

Mr. Harrington is an employee of the reporting person and serves as a director of the Issuer. As a result, the reporting person n

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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