FINISAR CORP

Form 3

September 08, 2008

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Brown Christopher

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

08/29/2008

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

FINISAR CORP [FNSR]

4. Relationship of Reporting Person(s) to Issuer

Filed(Month/Day/Year)

C/O FINISAR

CORPORATION, Â 1389 MOFFETT PARK DRIVE

(Street)

(Check all applicable)

Director

10% Owner Other

\_X\_\_ Officer (give title below) (specify below) VP & General Counsel

6. Individual or Joint/Group

5. If Amendment, Date Original

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

SUNNYVALE, CAÂ 94089

(State)

1. Title of Security (Instr. 4)

(City)

(Zip)

**Table I - Non-Derivative Securities Beneficially Owned** 

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Form:

Direct (D) or Indirect (I)

(Instr. 5)

Common Stock

217,691

Â D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

4. 5. Conversion or Exercise Form of Price of Derivative

6. Nature of Indirect Ownership Beneficial Ownership

(Instr. 5)

Derivative Security:

(Instr. 4)

1

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Options (Right to Buy)	(1)	08/27/2016	Common Stock	44,597	\$ 2.25	D	Â
Stock Options (Right to Buy)	(1)	08/27/2016	Common Stock	124,995	\$ 2.25	D	Â
Stock Options (Right to Buy)	(1)	08/27/2016	Common Stock	42,400	\$ 2.25	D	Â
Stock Options (Right to Buy)	01/01/2008(2)	08/27/2016	Common Stock	249,991	\$ 2.25	D	Â
Stock Options (Right to Buy)	01/01/2008(2)	08/27/2016	Common Stock	89,915	\$ 2.25	D	Â
Stock Options (Right to Buy)	01/01/2010(3)	08/27/2016	Common Stock	82,602	\$ 2.25	D	Â
Stock Options (Right to Buy)	01/01/2010(3)	08/27/2016	Common Stock	44,597	\$ 2.25	D	Â
Stock Options (Right to Buy)	03/01/2008(4)	02/28/2017	Common Stock	81,406	\$ 3.33	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
• 0	Director	10% Owner	Officer	Othe		
Brown Christopher C/O FINISAR CORPORATION 1389 MOFFETT PARK DRIVE SUNNYVALE, CA 94089	Â	Â	VP & General Counsel	Â		

## **Signatures**

/s/ Michelle Vicente-Surnip, attorney-in-fact 09/08/2008

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Option shares are vested and exercisable immediately.
- (2) 4.17% of the option shares vested and became exercisable on such date with the remaining shares vesting and becoming exercisable at a rate of 4.17% of the option shares monthly thereafter, with the final vesting to occur December 1, 2009.
- (3) 11.11% of the option shares vested and became exercisable on such date with the remaining shares vesting and becoming exercisable at a rate of 11.11% of the option shares monthly thereafter, with the final vesting to occur September 1, 2010.
- (4) 25% of the option shares vested and became exercisable on such date with the remaining shares vesting and becoming exercisable at a rate of 2.08% of the option shares monthly thereafter.

Reporting Owners 2

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