ARADIGM CORP Form S-8 POS August 09, 2016

As filed with the Securities and Exchange Commission on August 9, 2016

Registration No. 333-195920

# **UNITED STATES**

## SECURITIES AND EXCHANGE COMMISSION

#### Washington, D.C. 20549

#### **POST-EFFECTIVE**

#### AMENDMENT NO. 1 TO

#### FORM S-8

## **REGISTRATION STATEMENT**

## **UNDER**

## THE SECURITIES ACT OF 1933

## **Aradigm Corporation**

(Exact name of registrant as specified in its charter)

California (State or other jurisdiction of 94-3133088 (I.R.S. Employer

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incorporation or organization)

**3929** Point Eden Way, Hayward, CA (Address of Principal Executive Offices)

Igor Gonda, Ph.D.

President and Chief Executive Officer

3929 Point Eden Way

Hayward, CA 94545

(510) 265-9000

(Name, address, including zip code, and telephone number, including area code, of agent for service)

Copies to:

Nancy E. Pecota

Vice President of Finance, Chief Financial Officer

3929 Point Eden Way

Hayward, CA 94545

(510) 265-9000

Jon Layman, Esq.
Hogan Lovells US LLP
3 Embarcadero Center
San Francisco, CA 94111
(415) 374-2300

Indicate by check mark whether the registrant is a large accelerated filer, an accelerated filer, a non-accelerated filer, or a smaller reporting company. See the definitions of large accelerated filer, accelerated filer and smaller reporting company in Rule 12b-2 of the Exchange Act. (Check one):

Large accelerated filer		Accelerated filer	
Non-accelerated filer	" (Do not check if a smaller reporting company)	Smaller reporting company	/ X

Identification Number)

94545 (Zip Code)

### **EXPLANATORY NOTE**

Aradigm Corporation (the Registrant ), is filing this Post-Effective Amendment No. 1 to deregister the securities originally registered pursuant to the Registration Statement on Form S-8 filed on May 13, 2014 (File No. 333-195920) (the Registration Statement ), with respect to 500,000 shares of the Registrant s common stock, no par value (the Common Stock ) and related rights to purchase the Registrant s Series A Junior Participating Preferred Stock, no par value (the Preferred Share Purchase Rights ) issued to Igor Gonda pursuant to the option grant, effective

February 14, 2014 and the Amended and Restated Rights Agreement, dated September 5, 2008.

The Registrant has terminated all offerings of securities under the Registration Statement. This Post-Effective Amendment No. 1 to the Registration Statement is being filed to remove from registration all of the securities that have been registered for issuance but remain unsold at the termination of such offering, a total of 12,500 shares of Common Stock and the related Preferred Share Purchase Rights. The foregoing number reflects a 1-for-40 reverse split of the Registrant s Common Stock effected in May 2014. As a result, every 40 shares of the Registrant s Common Stock originally registered on the Registration Statement was converted into 1 share of post-split Common Stock.

#### SIGNATURES

Pursuant to the requirements of the Securities Act of 1933, as amended, the Registrant certifies that it has reasonable grounds to believe that it meets all of the requirements for filing on Form S-8 and has duly caused this Post-Effective Amendment No. 1 to Registration Statement on Form S-8 to be signed on its behalf by the undersigned, thereunto duly authorized, in the City of Hayward, State of California, on the 9th day of August, 2016.

#### ARADIGM CORPORATION

By: /s/ Igor Gonda, Ph.D. Igor Gonda, Ph.D.

President and Chief Executive Officer

Pursuant to the requirements of the Securities Act of 1933, this Post-Effective Amendment No. 1 to the Registration Statement has been signed by the following persons in the capacities and on the dates indicated.

Signature	Title	Date
/s/ Igor Gonda, Ph.D. Igor Gonda, Ph.D.	President, Chief Executive Officer and Director	August 9, 2016
	(Principal Executive Officer)	
/s/ Nancy E. Pecota Nancy E. Pecota	Vice President, Finance and Chief Financial Officer	August 9, 2016
	(Principal Financial and Accounting Officer)	
* Virgil D. Thompson	Chairman of the Board and Director	August 9, 2016
* John M. Siebert, Ph.D.	Director	August 9, 2016
* Frederick Hudson	Director	August 9, 2016
* David Bell	Director	August 9, 2016
/s/ Igor Gonda, Ph.D. Igor Gonda, Ph.D.	Attorney-in-Fact	August 9, 2016