Edgar Filing: TUESDAY MORNING CORP/DE - Form 4/A TUESDAY MORNING CORP/DE Form 4/A September 26, 2016 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB Washington, D.C. 20549 Number: Check this box Expires: if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Becker Steven R Issuer Symbol **TUESDAY MORNING CORP/DE** (Check all applicable) [TUES] 3. Date of Earliest Transaction (Last) (First) (Middle) \_X\_ Director X\_Officer (give title (Month/Day/Year) below) below) 500 CRESCENT COURT, SUITE 09/01/2016 Chief Executive Officer 230 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person 09/06/2016 Form filed by More than One Reporting DALLAS, TX 75201 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities 6. Ownership 1.Title of 2. Transaction Date 2A. Deemed 3. 5. Amount of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct (Instr. 3) any Code Disposed of (D) Beneficially (D) or Indirect Beneficial (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8) Owned (I) (Instr. 4) Following Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.         | 3. Transaction Date | 3A. Deemed         | 4.        | 5. Number of  | 6. Date Exercisable and | 7. Title and Amou |
|-------------|------------|---------------------|--------------------|-----------|---------------|-------------------------|-------------------|
| Derivative  | Conversion | (Month/Day/Year)    | Execution Date, if | Transacti | iorDerivative | Expiration Date         | Underlying Secur  |

3235-0287

January 31,

10% Owner

Other (specify

7. Nature of

Ownership

(Instr. 4)

Indirect

2005

0.5

## Edgar Filing: TUESDAY MORNING CORP/DE - Form 4/A

| Security<br>(Instr. 3)                          | or Exercise<br>Price of<br>Derivative<br>Security |            | any<br>(Month/Day/Year) | Code<br>(Instr. 8) | Securities<br>Acquired (A<br>Disposed of<br>(Instr. 3, 4, 4<br>5) | (D) | (Month/Day/         | 'Year)             | (Instr. 3 and   | 4)               |
|---|---|------------|-------------------------|--------------------|---|-----|---------------------|--------------------|-----------------|------------------|
|   |   |            |                         | Code V             | (A)   | (D) | Date<br>Exercisable | Expiration<br>Date | Title           | Am<br>Nui<br>Sha |
| Non-Qualified<br>Stock Option<br>(Right to Buy) | \$ 6.71   | 09/01/2016 |                         | А                  | 248,385<br>(1)  |     | (2)                 | 09/01/2026         | Common<br>Stock | 24               |

## **Reporting Owners**

| Reporting Owner Name / Address                   | Relationships |           |                    |       |  |  |
|--|---------------|-----------|--------------------|-------|--|--|
| 1  | Director      | 10% Owner | Officer            | Other |  |  |
| Becker Steven R<br>500 CRESCENT COURT, SUITE 230 | Х             |           | Chief<br>Executive |       |  |  |
| DALLAS, TX 75201                                 |               |           | Officer            |       |  |  |

## Signatures

| /s/ Steven R.<br>Becker                    | 09/26/2016 |  |  |
|--|------------|--|--|
| <u>**</u> Signature of<br>Reporting Person | Date       |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Form 4 originally filed by the reporting person with the Securities and Exchange Commission on September 6, 2016 (the "Original Filing") did not include 62,096 options that were part of the grant of an aggregate of 248,385 options to Steven R. Becker on September

- 1, 2016 pursuant to the Tuesday Morning Corporation 2014 Long-Term Incentive Plan (the "2014 LTIP"). The Original Filing is amended by this Form 4 amendment to reflect the reporting person's beneficial ownership. No other changes have been made to the Original Filing.
- (2) The option vests in equal annual installments over four years beginning on the first anniversary of the grant date.
- (3) Granted to Mr. Becker on September 1, 2016 pursuant to the 2014 LTIP.
- (4) This statement is filed by and on behalf of Mr. Becker, and Mr. Becker is the direct beneficial owners of the securities covered by this statement.

The reporting person states that neither the filing of this statement nor anything herein shall be deemed an admission that such person is, for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or otherwise, the beneficial owner of any securities

(5) To purpose of section to of the securities Exchange Act of 1954, as antended, of otherwise, the beneficiar owner of any securities covered by this statement. The reporting person disclaims beneficial ownership of the securities covered by this statement, except to the extent of the pecuniary interest of such person in such securities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.