#### MARINUS PHARMACEUTICALS INC

Form 4

October 24, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Mehra Anand

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

MARINUS PHARMACEUTICALS

INC [MRNS]

(Check all applicable)

10/20/2016

(Middle)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

Director Officer (give title below)

X\_\_ 10% Owner Other (specify

C/O SOFINNOVA VENTURES, 3000 SAND HILL

(First)

ROAD, 4-250

(City)

Common

Stock

(Last)

(Street)

(State)

10/20/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

15,470

Ι

Person

Table I. Non-Desiration Consulting Assuring Dispersed of an Desirable Consulting

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

MENLO PARK, CA 94025

(011)	(State)	Tabl	le I - Non-I	Derivative S	ecurit	ies Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securitie on Disposed (Instr. 3, 4	d of (D	))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/20/2016		S	724,788	` ,	\$ 1.389	1,134,851	I	See footnote (1)
Common Stock	10/20/2016		S	13,367	D	\$ 1.389	224,845	I	See footnote (2)
<b>C</b>						¢			See

12,528

S

footnote

(3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Titl	e and	8. Price of	- !
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration Da	ite	Amou	nt of	Derivative	
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	Ī
	Derivative				Securities			(Instr.	3 and 4)		
	Security				Acquired						1
					(A) or						1
					Disposed						
					of (D)						
					(Instr. 3,						
					4, and 5)						
									A		
									Amount		
						Date	Expiration	T:41	or		
						Exercisable	Date	Title	Number		
				C + V	(A) (D)				of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
Mehra Anand C/O SOFINNOVA VENTURES 3000 SAND HILL ROAD, 4-250 MENLO PARK, CA 94025		X				
SOFINNOVA VENTURE PARTNERS VI L P C/O SOFINNOVA VENTURES 3000 SAND HILL ROAD, 4-250 MENLO PARK, CA 94025		X				
Sofinnova Venture Partners VI GmbH & Co. KG C/O SOFINNOVA VENTURES 3000 SAND HILL ROAD, 4-250 MENLO PARK, CA 94025		X				
Sofinnova Venture Affiliates VI LP C/O SOFINNOVA VENTURES 3000 SAND HILL ROAD, 4-250 MENLO PARK, CA 94025		X				
Sofinnova Management VI, L.L.C. C/O SOFINNOVA VENTURES 3000 SAND HILL ROAD, 4-250		X				

Reporting Owners 2

#### MENLO PARK, CA 94025

**Buatois Eric** 

C/O SOFINNOVA VENTURES 3000 SAND HILL ROAD, 4-250

MENLO PARK, CA 94025

**HEALY JAMES** 

C/O SOFINNOVA VENTURES 3000 SAND HILL ROAD, 4-250

MENLO PARK, CA 94025

Azan Alain

C/O SOFINNOVA VENTURES 3000 SAND HILL ROAD, 4-250

MENLO PARK, CA 94025

POWELL MICHAEL

C/O SOFINNOVA VENTURES 3000 SAND HILL ROAD, 4-250

MENLO PARK, CA 94025

## **Signatures**

/s/ Nathalie Auber, attorney-in-fact for Anand Mehra					
**Signature of Reporting Person	Date				
/s/ Nathalie Auber, Attorney-in-Fact for Sofinnova Venture Partners VI, L.P.					
**Signature of Reporting Person	Date				
/s/ Nathalie Auber, Attorney-in-Fact for Sofinnova Venture Partners VI GmbH & Co. KG	10/24/2016				
**Signature of Reporting Person	Date				
/s/ Nathalie Auber, Attorney-in-Fact for Sofinnova Venture Affiliates VI, L.P.					
**Signature of Reporting Person	Date				
/s/ Nathalie Auber, Attorney-in-Fact for Sofinnova Management VI, L.L.C.					
**Signature of Reporting Person	Date				
/s/ Nathalie Auber, Attorney-in-Fact for Alain Azan	10/24/2016				
**Signature of Reporting Person	Date				
/s/ Nathalie Auber, Attorney-in-Fact for Eric Buatois	10/24/2016				
**Signature of Reporting Person	Date				
/s/ Nathalie Auber, Attorney-in-Fact for James Healy	10/24/2016				
**Signature of Reporting Person	Date				

Signatures 3

/s/ Nathalie Auber, Attorney-in-Fact for Michael Powell

10/24/2016

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares are held by Sofinnova Venture Partners VI, L.P. ("SVP VI"). Sofinnova Management VI, L.L.C. ("SM VI") is the general partner of SVP VI and James I. Healy ("Healy"), Michael F. Powell ("Powell"), Alain L. Azan ("Azan") and Eric P. Buatois ("Buatois"), the managing members of SM VI, and Anand Mehra ("Mehra"), a former director of the Issuer, may be deemed to share voting and dispositive power over the shares held by such entities.
- Shares are held by Sofinnova Venture Partners VI GmbH & Co. KG ("SVP KG"). SM VI is the managing limited partner of SVP KG, and Healy, Powell, Azan and Buatois, the managing members of SM VI, and Mehra, a former director of the Issuer, may be deemed to share voting and dispositive power over the shares held by such entities.
- Shares are held by Sofinnova Venture Affiliates VI, L.P. ("SVA VI"). SM VI is the general partner of SVA VI, and Healy, Powell, Azan and Buatois, the managing members of SM VI, and Mehra, a former director of the Issuer, may be deemed to share voting and dispositive power over the shares held by such entities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.